

# Sheaves for Children

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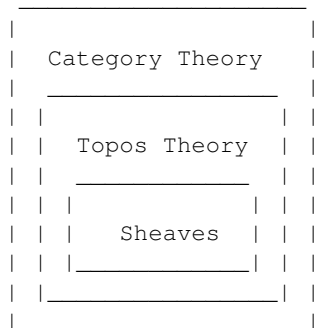
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<http://angg.twu.net/math-b.html#sfc>  
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# Where sheaves stand



Cartesian Closed Categories,  
Lambda-Calculus,  
Intuitionistic Logic

Modal Logic (S4)

Topology

Algebraic Geometry

## CT: Why?

Category Theory is fascinating (for some people!),  
but (usually) too abstract...

The right level of abstraction  
makes lots of proofs *almost* automatic:  
*proving* something in CT means  
*constructing* something (CT is constructive!), and  
all “natural” constructions are equivalent (“coherence”).

More or less like this:

*Let  $A$  and  $B$  be (arbitrary) sets.*

*Then there is an “obvious” function  $\text{flip} : A \times B \rightarrow B \times A$ .*

This *ought* to make some parts of CT *easy!!!*

(Long story... see “Internal Diagrams and Archetypal Reasoning in  
Category Theory”)

## Why study CT *now*?



Public education in Brazil is being dismantled -  
maybe we should be doing better things than studying  
very technical & inaccessible subjects  
with no research grants -

# Category theory should be more accessible

Most texts about CT are for specialists in research universities...

*Category theory should be more accessible.*

To whom?...

- Non-specialists (in research universities)
- Grad students (in research universities)
- Undergrads (in research universities)
- Non-specialists (in conferences - where we have to be quick)
- Undergrads (e.g., in CompSci - in teaching colleges) - (“Children”)

# ZSets

Take a finite, non-empty subset of  $\mathbb{N}^2$ ;  
translate it lowerleftwards as most as possible in  $\mathbb{N}^2$ ,  
until you get something that touches both axes.

Subsets of  $\mathbb{N}^2$  obtained in this way are said to be  
“well-positioned”, and we call them *ZSets*.

We can use a positional notation with bullets  
to denote our favourite ZSets (unambiguously!)

<i>V</i>	Vee	••	$\{(0, 1), (2, 1), (1, 0)\}$
<i>K</i>	Kite	•••	$\{(1, 3), (0, 2), (2, 2), (1, 1), (1, 0)\}$
<i>H</i>	House	•••	$\{(1, 2), (0, 2), (2, 2), (0, 1), (2, 1)\}$

↑ Some of my favorite ZSets -  
note that they have both short, one-letter names  
and long, pronounceable names.

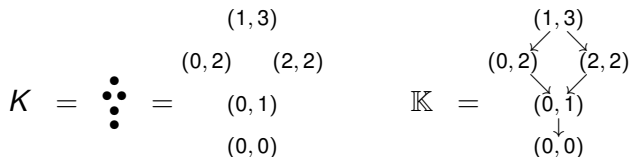
# ZDAGs

An arrow between points of  $\mathbb{N}^2$  that goes one unit down and 0/1/-1 units horizontally is called a *black pawn's move*.

Take a ZSet,  $D$ , and draw all possible black pawns moves between its points; this gives us a set of arrows,  $\text{BPM}(D)$ , that turns  $D$ , a ZSet, into a directed, acyclical, graph,  $\mathbb{D}$ , in a canonical way:  $\mathbb{D} = (D, \text{BPM}(D))$ .

Note the change of font!!!:  $D \dashrightarrow \mathbb{D}$

Example:

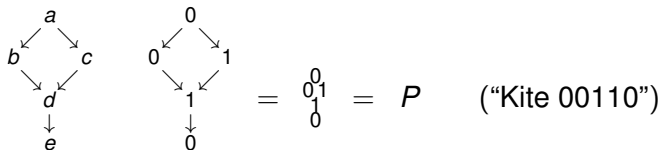


# Truth-values

A function from a ZSet  $D$  to  $\{0, 1\}$  is a *modal truth-value*.

The positional notation gives us a way to write modal truth-values very compactly, and the points on a ZSet have a natural order - the “reading order”, in which we read them line by line, left to right in each line.

This gives us a way to *read aloud* modal truth-values - and to list all modal truth-values in order.

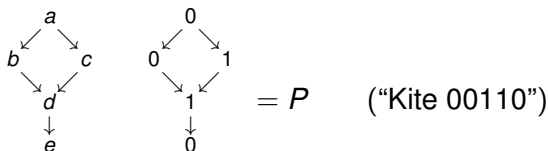


Notation:  $\mathcal{P}(\mathbb{D})$  is the set of modal truth-values on  $\mathbb{D}$

We use “ $\mathcal{P}(\mathbb{D})$ ” because  $\begin{matrix} 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{matrix}$  “is”  $\{c, d\} (\subset K)$



# Intuitionistic truth-values



Now consider that each 1 in  $P$  is covered with (wet) black paint. Then  $P$  ("Kite 00110") is not *stable*, because the paint of the 1 in position  $d$  will flow down into the 0 of position  $e$ , and paint it black.

*Stable* modal truth-values are called *intuitionistic truth-values*.

Notation:  $\downarrow P$  is  $P$  after letting the black paint flow down.

Example:  $\downarrow \begin{array}{c} 0 \\ 1 \\ 0 \end{array} = \begin{array}{c} 0 \\ 1 \\ 1 \end{array}$

# The order topology

$$\downarrow \begin{matrix} 0 \\ 0 & 1 \\ 0 \end{matrix} = \begin{matrix} 0 \\ 0 & 1 \\ 1 \end{matrix}$$

Notation:  $\mathcal{P}(\mathbb{D})$  is the set of modal truth-values on  $\mathbb{D}$

Notation:  $\mathcal{O}(\mathbb{D})$  is the set of intuitionistic truth-values on  $\mathbb{D}$

$$\downarrow : \mathcal{P}(\mathbb{D}) \rightarrow \mathcal{O}(\mathbb{D})$$

The topology  $\mathcal{O}(\mathbb{D})$  is the *order topology* -  
an arrow  $\alpha \rightarrow \beta$  in  $\mathbb{D}$  means that  
if an open set contains  $\alpha$  it has to contain  $\beta$  too.

(Order topologies are Alexandroff.)

# Priming

Amazing fact: very often  $\mathcal{O}(\mathbb{D})$  can be represented as a ZDAG too!

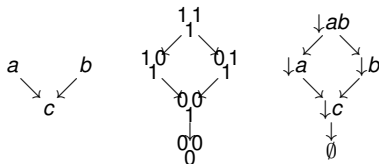
$\mathcal{O}(\mathbb{D})$  has a natural order:

$P \rightarrow Q$  means  $P \leq Q$ ,  $P \subseteq Q$ ,  $P \vdash Q$ ,

and  $\top = 1_1$  ("Top") is the terminal of the category...

But if we draw  $\mathcal{O}(\mathbb{D})^{\text{op}}$  instead of  $\mathcal{O}(\mathbb{D})$

we can see clearly how  $\mathbb{D} \hookrightarrow \mathcal{O}(\mathbb{D})^{\text{op}}$ :



Note that  $\downarrow ab = \downarrow\{a, b\} = \downarrow 1_0^1 = 1_1^1$ .

Def:  $\mathbb{D}' = \mathcal{O}(\mathbb{D})^{\text{op}}$ .

$\mathbb{V}' \cong \mathbb{K}$  - and, by abuse of language,  $\mathbb{V}' = \mathbb{K}$ .

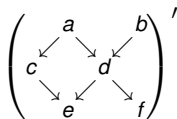
# Unpriming

If  $\mathbb{C}' = \mathbb{D}$  can we recover  $\mathbb{C}$  from  $\mathbb{D}$ ?

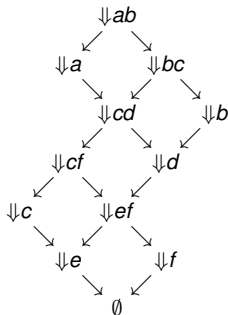
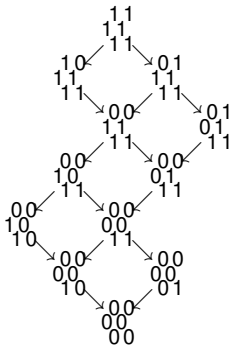
Better: if  $\mathbb{D}$  is a ZDAG that is a Heyting algebra can we find a  $\mathbb{C} \subset \mathbb{D}$  such that  $\mathbb{C}' = \mathbb{D}$ ?

Can we use that to determine quickly whether an arbitrary  $\mathbb{D}$  is a Heyting algebra?

Yes, yes, & yes!



$\cong$



## Priming: theorems

We say that  $\mathbb{D}$  is *3-thin* when  $\bullet\bullet\bullet \notin \mathbb{D}$ .

We say that  $\mathbb{D}$  is *square-thin* when  $\bullet\bullet \notin \mathbb{D}$ .

We say that  $\mathbb{D}$  is *thin* when it is both 3-thin and square-thin.

Fact: if  $\mathbb{D}$  is 3-thin then  $\mathbb{D}'$  is a ZDAG.

Fact: if  $\mathbb{D}$  is thin then  $\mathbb{D}'$  is a thin ZDAG.

Fact: every topology - whether planar or not - is a Heyting algebra - i.e., we can interpret  $T, F, \wedge, \vee, \rightarrow, \neg$  on it, and every  $\mathbb{D}'$  is a topology...

Priming gives us LOTS of Heyting algebras,  
and lots of *planar* Heyting algebras!

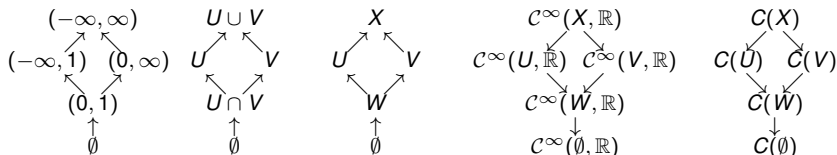
*Topological* sheaves are defined on diagrams like  $\mathbb{D} \hookrightarrow \mathbb{D}' \hookrightarrow \mathbb{D}''$ .

# Glueing locally-defined functions

Let  $U$  be  $(-\infty, 1)$

and  $V$  be  $(0, \infty)$ ...

Consider these open sets in  $\mathbb{R}$ ,



and the sheaf  $C$  of  $C^\infty$  functions from them to  $\mathbb{R}$ .

Upward arrows are *inclusions* (of an open set into another).

Downward arrows are *restrictions* (of domains).

Two functions  $f_U$  and  $f_V$  are *compatible*

if their restrictions to  $U \cap V$  coincide.

Each compatible family  $\{f_U, f_V\}$  in  $C$  has a unique glueing  $f_X$ .

Generalize that, and you get the definition of *sheafness*.

# Sheafness

A compatible family  $\{f_U, f_V\}$  is defined on  $\{U, V\} = \begin{smallmatrix} 0 \\ 1 \ 0 \end{smallmatrix}$ ,  
and it can be extended, using the restriction maps  $\rho_{UV} : FU \rightarrow FV$   
etc,

to a downward-closed compatible family  $\{f_U, f_V, f_W, f_\emptyset\}$ ,  
defined on  $\{U, V, W, \emptyset\} = \begin{smallmatrix} 0 \\ 1 \ 1 \end{smallmatrix} \dots$

The “unique glueing”  $f_X$  of  $\{f_U, f_V\}$  can be extended  
to a downward-closed compatible family  $\{f_X, f_U, f_V, f_W, f_\emptyset\}$ ,  
defined on  $\{X, U, V, W, \emptyset\} = \begin{smallmatrix} 1 \\ 1 \end{smallmatrix}$ .

The restriction

$$\{f_X, f_U, f_V, f_W, f_\emptyset\} \xrightarrow{\rho} \{f_U, f_V, f_W, f_\emptyset\}$$

is trivial to define -

**sheafness means that maps like these are bijections.**

# Topological sheafness

A closure operator:

$$\sqcup\{U, V, W, \emptyset\} = \{X, U, V, W, \emptyset\}$$

it takes the union  $U \cup V \cup W \cup \emptyset = X$

and then all subsets of that.

It acts on  $\mathbb{V}''$ :  $\sqcup : \bullet\bullet'' \rightarrow \bullet\bullet''$

$$\sqcup \begin{array}{c} 0 \\ 1 \\ 1 \end{array} = \begin{array}{c} 1 \\ 1 \\ 1 \end{array}$$

Which elements of  $\mathbb{V}''$  are stable by  $\sqcup$ ?

Only  $\downarrow\{U, V\} \mapsto \downarrow\{X\}$  ( $\begin{array}{c} 0 \\ 1 \\ 1 \end{array} \mapsto \begin{array}{c} 1 \\ 1 \\ 1 \end{array}$ ) and  $\emptyset \mapsto \{\emptyset\}$  ( $\begin{array}{c} 0 \\ 0 \\ 0 \end{array} \mapsto \begin{array}{c} 0 \\ 0 \\ 0 \end{array}$ )

are *not* stable by  $\sqcup$ .

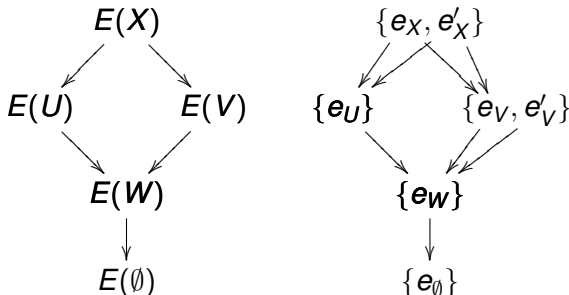
The stable elements of  $\mathbb{V}''$  are these:  $\begin{array}{c} 1 \\ 0 \\ 1 \\ 1 \\ 0 \end{array}$ .

These diagrams of stable elements are what we need to define sheaves “in general”.



## The evil presheaf

A presheaf  $F$  in  $\mathbf{Set}^{\mathcal{O}(\mathbb{V})^{\text{op}}}$   
is simply a functor  $F : \mathcal{O}(\mathbb{V})^{\text{op}} \rightarrow \mathbf{Set}$ .



The **evil presheaf**  $E : \mathcal{O}(\mathbb{V})^{\text{op}} \rightarrow \mathbf{Set}$ , above,  
fails to be in a sheaf in two ways:  
the compatible family  $\{e_U, e_V\}$  has two different glueings,  
the compatible family  $\{e_U, e'_V\}$  doesn't have a glueing.

# Dual operations

Due to we being in a finite / planar / etc case,  
several interesting operations have duals (adjoints):

- In finite DAGs the transitive-reflexive closure  $(A, R) \mapsto (A, R^*)$  has an adjoint that keeps only the “essential arrows” of the graph;
- The “let the paint flow down” operation  $\downarrow \begin{smallmatrix} 0 \\ 1 \\ 1 \end{smallmatrix} = \begin{smallmatrix} 0 \\ 1 \\ 1 \end{smallmatrix}$  has an adjoint  $\begin{smallmatrix} 0 \\ 1 \end{smallmatrix} \mapsto \begin{smallmatrix} 0 \\ 0 \\ 1 \end{smallmatrix}$  that returns the “generators” of an open set;
- Each closure operator like  $\mathcal{U} \mapsto \sqcup \mathcal{U}$  has an adjoint that returns the smallest equivalent cover...

# Where are the theorems?

Not here! Why???

Because this is “for children” -

we are focusing on the tools to let people check particular cases... and this *complements* [Bell 1988] and my IDARCT paper, that explains how to do theorems and archetypal cases in parallel

Slightly more advanced things:

- CCCs and Heyting Algebras;  $(\wedge Q) \dashv (Q \rightarrow)$
- presheaves of the form  $\mathbf{Set}^{\mathbb{D}}$  as toposes
- the classifier object of a  $\mathbf{Set}^{\mathbb{D}}$
- other modalities (besides  $\sqcup$ ) in a  $\mathbf{Set}^{\mathbb{D}}$
- all logical properties of modalities follow from three axioms
- operations on the lattice of modalities
- forcing
- sheafification
- geometric morphisms between toposes - I need help here = (

# ZHAs

A *ZHA* is a *ZSet* that is a Heyting Algebra -  
i.e., one whose points are intuitionistic truth-values,  
and where we have the logical operations  $\wedge$ ,  $\vee$ ,  $\rightarrow$ , etc  
defined on them, obeying the right equations...

...but this is how we want to *use* ZHAs.

Let's see a *visual* characterization of ZHAs.

(See the handouts)

## ZHAs: definition

Def: a ZSet  $D$  is a ZHA iff it obeys these five conditions:

- ZHA<sub>1</sub>    The non-empty lines of  $D$  are sequential
- ZHA<sub>2</sub>     $D$  has one top element and one bottom element
- ZHA<sub>3L</sub>    The left wall of  $D$  can be traversed by black pawns moves
- ZHA<sub>3R</sub>    The right wall of  $D$  can be traversed by black pawns moves
- ZHA<sub>4</sub>    Each line of  $D$  is made of consecutive same-parity points
- ZHA<sub>5</sub>    All points in each wide region of  $D$  have the same parity

(The bottlenecks of  $D$  divide it into regions with a top element and a bottom element. A region whose lines are a bottleneck, one or more non-bottlenecks, and another bottleneck is a “wide region”).

(If these look like six conditions, let ZHA<sub>3</sub> be “both the left wall and the right wall of  $D$  can be traversed by black pawns moves”)

## Definitions for ZHAs

- $\text{height}_D$  is the  $y$  coordinate of the uppermost points of  $D$ .
- $\text{Line}_D(y)$  is all points of  $D$  whose  $y$  coordinate is the given  $y$ .
- $\text{Lines}_D$  is the set of all  $ys$  such that  $\text{Line}_D(y)$  is non-empty.
- $L_D(y)$  is the  $x$  coordinate of the leftmost point in  $\text{Line}_D(y)$ .
- $R_D(y)$  is the  $x$  coordinate of the rightmost point in  $\text{Line}_D(y)$ .
- $W_D(y)$  is  $R_D(y) - L_D(y)$ .
- $B_D$  is the set of all  $ys$  such that  $\text{Lines}_D(y)$  has exactly one point; these points are the **bottlenecks** of  $D$ .
- $LW_D$  is the **left wall** - the set of points of the form  $(y, L_D(y))$
- $RW_D$  is the **right wall** - the set of points of the form  $(y, R_D(y))$
- $D^-$  is the set of **generators** of  $D$  - formally, the points  $(x, y) \in D$  such that exactly one of the points  $(x - 1, y - 1)$ ,  $(x, y - 1)$ ,  $(x + 1, y - 1)$  belongs to  $D$ .

$L_D, R_D, W_D : \text{Lines}_D \rightarrow \mathbb{N}$ .

“Lines” here are horizontal lines in  $\mathbb{N}^2$ .

## Definitions for ZHAs (2)

The line  $y$  is a **bottleneck** (of  $D$ ) when  $\text{Lines}_D(y)$  has exactly one point  
The line  $y$  is a **wide** when  $\text{Lines}_D(y)$  has more than one point

- $B_D$  is the set of the ( $y$  coordinates of) bottlenecks of  $D$ .
- $CB_D$  is the set of ( $y$  coords of pairs of) **consecutive bottlenecks** of  $D$   
Formally:  $(y_0, y_1) \in CB_D$  iff  $([y_0, y_1] \cap B_D) = \{y_0, y_1\}$
- $CCB_D$  is the set of **close consecutive bottlenecks** of  $D$   
Formally:  $(y_0, y_1) \in CCB_D$  iff  
 $(y_0, y_1) \in CB_D$  and  $y_1 - y_0 = 1$
- $DCB_D$  is the set of **distant consecutive bottlenecks** of  $D$   
Formally:  $(y_0, y_1) \in DCB_D$  iff  
 $(y_0, y_1) \in CB_D$  and  $y_1 - y_0 \geq 2$
- $\text{Reg}_D(y_0, y_1)$  is the **region** of all points  $(x, y) \in D$  with  $y_0 \leq y \leq y_1$ .
- $\text{WideRegs}_D$  is the set of all **wide regions** of  $D$   
Formally:  $\text{WideRegs}_D = \text{Reg}_D(\text{DCB}_D)$

## ZHAs: definition (again, but this time formally)

Def: a ZSet  $D$  is a ZHA iff it obeys these conditions:

- ZHA<sub>1</sub> The non-empty lines of  $D$  are sequential  
Formally:  $\text{Lines}_D = \{0, 1, \dots, \text{height}_D\}$
- ZHA<sub>2</sub>  $D$  has one top element and one bottom element  
Formally:  $\{0, \text{height}_D\} \subset B_D$
- ZHA<sub>3L</sub> The left wall of  $D$  can be traversed by black pawns moves  
Formally:  $L_D(y+1) - L_D(y) \in \{-1, 0, 1\}$  whenever defined
- ZHA<sub>3R</sub> The right wall of  $D$  can be traversed by black pawns moves  
Formally:  $R_D(y+1) - R_D(y) \in \{-1, 0, 1\}$  whenever defined
- ZHA<sub>4</sub> Each line of  $D$  is made of consecutive same-parity points  
Formally:  $\text{Line}_D(y) =$   
 $\{(a = L_D(y), y), (a + 2, y), (a + 4, y), \dots, (R_D(y), y)\}$
- ZHA<sub>5</sub> All points in each wide region of  $D$  have the same parity



## $D^-$ as a DAG (not necessarily a ZDAG)

For each ZDAG  $D$  its subset of generators,  $D^-$  (the points of  $D$  with exactly one black pawn move going out) has a natural partial order in it, obtained by restricting the partial order in  $D$ ...

$$(D, \text{BPM}^*(D)) \mapsto (D^-, \text{BPM}(D)^* \cap (D^- \times D^-))$$

When  $D$  is a ZHA this order on  $D^-$  is generated by a set of arrows that is very easy to draw -  
draw an arrow from each point of the left wall to the next one,  
draw an arrow from each point of the right wall to the next one,  
draw inter-wall arrows (which will always be  $45^\circ$ ) like this:

[See the handouts]

## $D^-$ as generators

This gives us a notion of stable subsets of  $D^-$ ,  
and thus a topology on  $D^-$ .

$(D^-, \mathcal{O}(D^-))$  is a topological space,  
which lets us construct  $(D^-)'$ ...

When  $D$  is a ZHA we have  $(D^-)' \cong D$ .

How?

We have

a natural function from  $D^-$  to  $D$ ,

a natural function from  $\mathcal{O}(D^-)$  to  $D$ ,

a natural function from  $D$  to  $\mathcal{O}(D^-)$ ,

[See the handouts]

# ZHAs are sets of truth-values

Theorem 1: every ZHA  $D$  is isomorphic to  $\mathcal{O}(D^-)$ .

Theorem 2: every ZHA  $D$  is a Heyting Algebra.

Theorem 3: a ZSet  $D$  is a Heyting Algebra iff it is isomorphic to  $\mathcal{O}(D^-)$ .

Theorem 4: a ZSet  $D$  is a Heyting Algebra iff it is a ZHA “with some (or no) corners tucked in”.

[To do: describe the iso in theorem 1]

# For Further Reading I



J.L. Bell.

*Toposes and Local Set Theories.*  
Oxford, 1988 (re-ed: Dover, 2007).



E. Ochs.

*Internal Diagrams and Archetypal Reasoning in Category Theory*  
Logica Universalis, 2013  
<http://angg.twu.net/math-b.html>.