

Planar Heyting Algebras for Children

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Abstract

This paper shows a way to interpret (propositional) intuitionistic logic *visually* using finite Planar Heyting Algebras (“ZHAs”), that are certain subsets of \mathbb{Z}^2 . We also show the connection between ZHAs and the familiar semantics for IPL where the truth-values are open sets: the points of a ZHA H correspond to the open sets of a finite topological space $(P, \mathcal{O}(P))$, where this $(P, \mathcal{O}(P))$ is an “order topology on a 2-column graph”. The logic of ZHAs is between classical and intuitionistic but different from both; there are some sentences that are intuitionistically false but that can’t have countermodels in ZHAs — their countermodels would need three “columns” or more.

When a mathematical text says “for children” this means either that it is written for people without lots of mathematical *knowledge* or that it doesn’t require mathematical *maturity*; we follow the second, stronger, meaning of the term. “Children” for us means people who are not able to understand structures that are too abstract straight away, they need particular cases first — and they also have trouble with infinite objects, and with theorems about things that they can’t calculate: *calculating* is much more basic for them than *proving*. Writing “for children” makes us enforce a style where everything is constructive and finite and all the main examples are objects that are easy to draw explicitly.

Keywords: Heyting Algebras, Intuitionistic Logic, diagrammatic reasoning.

Introduction

This paper shows a way to interpret (propositional) intuitionistic logic *visually* using finite Planar Heyting Algebras, that are certain subsets of \mathbb{Z}^2 . We call these subsets of \mathbb{Z}^2 “ZHAs” (sec.4), and the interpretation of the connectives is described in sec.7. In the second half of the paper — sec.11 onwards — we show the relation between ZHAs and topologies on certain finite sets; it turns out that ZHAs are exactly the topologies on “2-column graphs” (sections 14, 15, 17).

The “for children” of the title uses the term “children” in a unusual way. When we explain a theorem to children — in the strict sense of the term — we focus on concrete examples, and we avoid generalizations, abstract structures and infinite objects; when we present something to “children”, now in the wider sense of the term that means “people without mathematical maturity”, or even “people without expertise in a certain area”, we usually do something similar: we start from a few motivating examples, and only then we generalize. This is in stark contrast with how things are done for example in most books on Category Theory, where concepts are usually presented first in the most general way possible, and then the motivating cases are mentioned very briefly — let’s call that style “mathematics for adults”. Here we try to do things in particular cases first, but in a way that our proofs can be “lifted” to general proofs with ease, with only trivial changes.

Except for the last section, this paper has been written to be self-contained and readable by students with just a basic knowledge of discrete mathematics and λ -notation.

Two sequels to this paper are in preparation: one that shows how to visualize “closure operators” on ZHAs, and another one that uses that to show how to visualize several theorems about geometric morphism on toposes (from section A4 of [Joh02]). It may be possible, in the future, to find meta-theorems about how to do “mathematics for adults” via “mathematics for children”, or to find criteria that say which examples “for children” are good enough for lifting; but at this moment we are simply beginning to build a corpus of examples and techniques — an approach was inspired by sections 22 and 23 of [Och13].

1 Positional notations

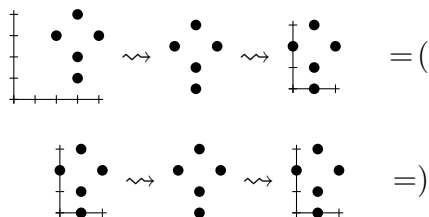
Definition: a *ZSet* is a finite, non-empty subset of \mathbb{N}^2 that touches both axes, i.e., that has a point of the form $(0, _)$ and a point of the form $(_, 0)$. We will often represent ZSets using a bullet notation, with or without the axes and ticks. For example:

$$K = \left\{ \begin{array}{l} (1,3), \\ (0,2), \quad (2,2), \\ (1,1), \\ (1,0) \end{array} \right\} = \begin{array}{c} \uparrow \bullet \\ | \bullet \\ | \bullet \\ | \bullet \\ \downarrow \bullet \\ \leftarrow \bullet \rightarrow \end{array} = \begin{array}{c} \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \end{array}$$

We will use the ZSet above a lot in examples, so let’s give it a short name: *K* (“kite”).

The condition of touching both axes is what lets us represent ZSets unambiguously

using just the bullets:



We can use a positional notation to represent functions *from* a ZSet. For example, if

$$f : K \rightarrow \mathbb{N} \\ (x, y) \mapsto x$$

then

$$f = \left\{ \begin{array}{l} ((0,2),0), \\ ((1,3),1), \\ ((1,1),1), \\ ((2,2),2), \\ ((1,0),1) \end{array} \right\} = \begin{array}{c} 0 \\ 1 \\ 1 \\ 2 \\ 1 \end{array}$$

We will sometimes use λ -notation to represent functions compactly. For example:

$$\lambda(x, y):K.x = \left\{ \begin{array}{l} ((0,2),0), \\ ((1,3),1), \\ ((1,1),1), \\ ((2,2),2), \\ ((1,0),1) \end{array} \right\} = \begin{array}{c} 0 \\ 1 \\ 1 \\ 2 \\ 1 \end{array}$$

$$\lambda(x, y):K.y = \left\{ \begin{array}{l} ((0,2),2), \\ ((1,3),3), \\ ((1,1),1), \\ ((2,2),2), \\ ((1,0),0) \end{array} \right\} = \begin{array}{c} 2 \\ 3 \\ 1 \\ 2 \\ 0 \end{array}$$

The “reading order” on the points of a ZSet S “lists” the points of S starting from the top and going from left to right in each line. More precisely, if S has n points then $r_S : S \rightarrow \{1, \dots, n\}$ is a bijection, and for example:

$$r_K = \begin{array}{c} 1 \\ 2 \\ 4 \\ 3 \\ 5 \end{array}$$

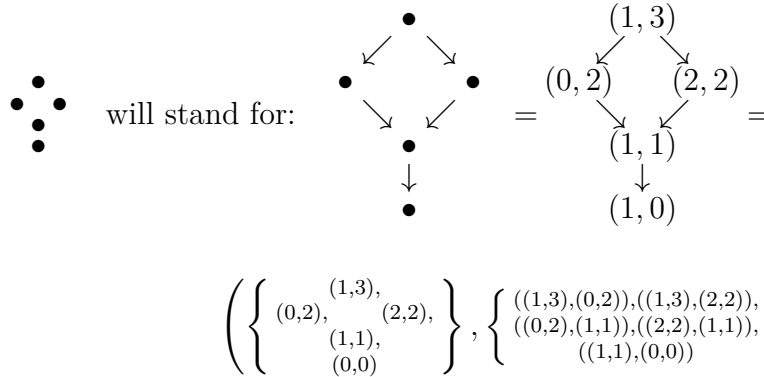
Subsets of a ZSet are represented with a notation with ‘•’s and ‘.’, and partial functions from a ZSet are represented with ‘.’s where they are not defined. For example:



The *characteristic function* of a subset S' of a ZSet S is the function $\chi_{S'} : S \rightarrow \{0, 1\}$ that returns 1 exactly on the points of S' ; for example, ${}^0_1^1$ is the characteristic function of $\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \subset \begin{array}{c} \bullet \\ \bullet \\ \bullet \\ \bullet \end{array}$. We will sometimes denote subsets by their characteristic functions because this makes them easier to “pronounce” by reading aloud their digits in the reading order — for example, ${}^0_1^1$ is “one-zero-one-one-zero” (see sec.12).

2 ZDAGs

We will sometimes use the bullet notation for a ZSet S as a *shorthand* for one of the two DAGs induced by S : one with its arrows going up, the other one with them going down. For example: sometimes



Let's formalize this.

Consider a game in which black and white pawns are placed on points of \mathbb{Z}^2 , and they can move like this:



Black pawns can move from (x, y) to $(x + k, y - 1)$ and white pawns from (x, y) to $(x + k, y + 1)$, where $k \in \{-1, 0, 1\}$. The mnemonic is that black pawns are “solid”, and thus “heavy”, and they “sink”, so they move down; white pawns are “hollow”, and thus “light”, and they “float”, so they move up.

Let's now restrict the board positions to a ZSet S . Black pawns can move from (x, y) to $(x + k, y - 1)$ and white pawns from (x, y) to $(x + k, y + 1)$, where $k \in \{-1, 0, 1\}$, but only when the starting and ending positions both belong to S . The sets of possible black pawn moves and white pawn moves on S can be defined formally as:

$$\begin{aligned}
 \text{BPM}(S) &= \{ ((x, y), (x', y')) \in S^2 \mid x - x' \in \{-1, 0, 1\}, y' = y - 1 \} \\
 \text{WPM}(S) &= \{ ((x, y), (x', y')) \in S^2 \mid x - x' \in \{-1, 0, 1\}, y' = y + 1 \}
 \end{aligned}$$

...and now please forget everything else you expect from a game — like starting position, capturing, objective, winning... the idea of a “game” was just a tool to let us explain $\text{BPM}(S)$ and $\text{WPM}(S)$ quickly.

A ZDAG is a DAG of the form $(S, \text{BPM}(S))$ or $(S, \text{WPM}(S))$, where S is a ZSet.

A ZPO is partial order of the form $(S, \text{BPM}(S)^*)$ or $(S, \text{WPM}(S)^*)$, where S is a ZSet and the “*” denotes the transitive-reflexive closure of the relation.

Sometimes, when this is clear from the context, a bullet diagram like $\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}$ will stand for either the ZDAGs $(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}, \text{BPM}(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}))$ or $(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}, \text{WPM}(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}))$, or for the ZPOs $(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}, \text{BPM}(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}))^*$ or $(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}, \text{WPM}(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}))^*$ (sec.4).

3 LR-coordinates

The *lr-coordinates* are useful for working on quarter-plane of \mathbb{Z}^2 that looks like \mathbb{N}^2 turned 45° to the left. Let $\langle l, r \rangle := (-l + r, l + r)$; then (the bottom part of) $\{\langle l, r \rangle \mid l, r \in \mathbb{N}\}$ is:

$$\begin{array}{cccccc} \langle 4, 0 \rangle & \langle 3, 1 \rangle & \langle 2, 2 \rangle & \langle 1, 3 \rangle & \langle 0, 4 \rangle & (-4, 4) & (-2, 4) & (0, 4) & (2, 4) & (4, 4) \\ \langle 3, 0 \rangle & \langle 2, 1 \rangle & \langle 1, 2 \rangle & \langle 0, 3 \rangle & & (-3, 3) & (-1, 3) & (1, 3) & (3, 3) & \\ \langle 2, 0 \rangle & \langle 1, 1 \rangle & \langle 0, 2 \rangle & & & (-2, 2) & (0, 2) & (2, 2) & & \\ \langle 1, 0 \rangle & \langle 0, 1 \rangle & & & & (-1, 1) & (1, 1) & & & \\ \langle 0, 0 \rangle & & & & & (0, 0) & & & & \end{array} =$$

Sometimes we will write *lr* instead of $\langle l, r \rangle$. So:

$$\begin{array}{cccccc} 40 & 31 & 22 & 13 & 04 & (-4, 4) & (-2, 4) & (0, 4) & (2, 4) & (4, 4) \\ & 30 & 21 & 12 & 03 & (-3, 3) & (-1, 3) & (1, 3) & (3, 3) & \\ & & 20 & 11 & 02 & (-2, 2) & (0, 2) & (2, 2) & & \\ & & & 10 & 01 & (-1, 1) & (1, 1) & & & \\ & & & & 00 & (0, 0) & & & & \end{array} =$$

Let $\mathbb{LR} = \{\langle l, r \rangle \mid l, r \in \mathbb{N}\}$.

4 ZHAs

A *ZHA* is a subset of \mathbb{LR} “between a left and a right wall”, as we will see.

A triple (h, L, R) is a “height-left-right-wall” when:

- 1) $h \in \mathbb{N}$
- 2) $L : \{0, \dots, h\} \rightarrow \mathbb{Z}$ and $R : \{0, \dots, h\} \rightarrow \mathbb{Z}$
- 3) $L(h) = R(h)$ (the top points of the walls are the same)
- 4) $L(0) = R(0) = 0$ (the bottom points of the walls are the same, 0)
- 5) $\forall y \in \{0, \dots, h\}. L(y) \leq R(y)$ (“left” is left of “right”)
- 6) $\forall y \in \{1, \dots, h\}. L(y) - L(y - 1) = \pm 1$ (the left wall makes no jumps)

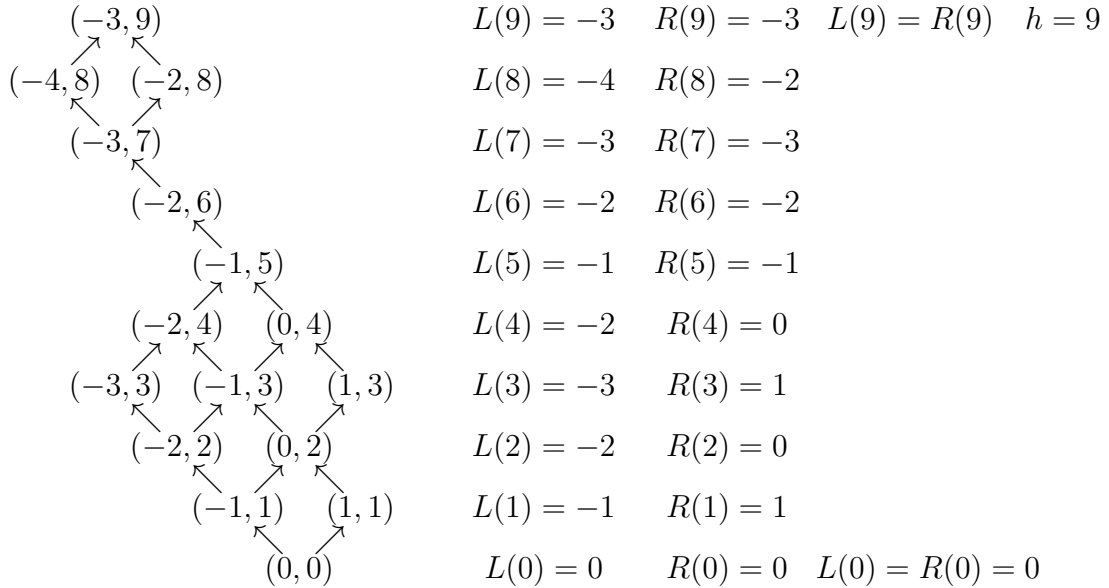
7) $\forall y \in \{1, \dots, h\}. R(y) - R(y - 1) = \pm 1$ (the right wall makes no jumps)

The *ZHA generated by a height-left-right-wall* (h, L, R) is the set of all points of $\mathbb{L}\mathbb{R}$ with valid height and between the left and the right walls. Formally:

$$\text{ZHAG}(h, L, R) = \{ (x, y) \in \mathbb{L}\mathbb{R} \mid y \leq h, L(y) \leq x \leq R(y) \}.$$

A *ZHA* is a set of the form $\text{ZHAG}(h, L, R)$, where the triple (h, L, R) is a height-left-right-wall.

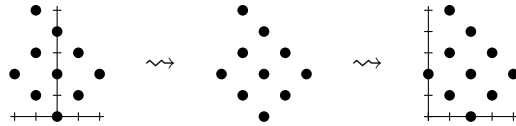
Here is an example of a ZHA (with the white pawn moves on it):



We will see later (in section 7) that ZHAs (with white pawn moves) are Heyting Algebras.

5 Conventions on diagrams without axes

We can use a bullet notation to denote ZHAs, but look at what happens when we start with a ZHA, erase the axes, and then add the axes back using the convention from sec.1:



The new, restored axes are in a different position — the bottom point of the original ZHA at the left was $(0, 0)$, but in the ZSet at the right the bottom point is $(2, 0)$.

The convention from sec.1 is not adequate for ZHAs.

Let's modify it!

From this point on, the convention on where to draw the axes will be this one: when it is clear from the context that a bullet diagram represents a ZHA, then its (unique) bottom point has coordinate $(0,0)$, and we use that to draw the axes; otherwise we apply the old convention, that chooses $(0,0)$ as the point that makes the diagram fit in \mathbb{N}^2 and touch both axes.

The new convention with two cases also applies to functions from ZHAs, and to partial functions and subsets. For example:

$$B = \begin{array}{c} \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \end{array} \quad (\text{a ZHA}) \quad \lambda(x,y):B.x = \begin{array}{c} -1 \\ -2 \\ -1 \\ 0 \end{array} \begin{array}{c} 0 \\ 1 \\ 1 \\ 2 \end{array}$$

$$\lambda\langle l,r \rangle : B.l = \begin{array}{c} 3 \\ 2 \\ 2 \\ 1 \\ 0 \end{array} \begin{array}{c} 2 \\ 1 \\ 1 \\ 0 \\ 0 \end{array} \quad \lambda\langle l,r \rangle : B.r = \begin{array}{c} 2 \\ 1 \\ 1 \\ 0 \end{array} \begin{array}{c} 2 \\ 2 \\ 1 \\ 1 \end{array}$$

We will often denote ZHAs by the identity function on them:

$$\lambda\langle l,r \rangle : B.\langle l,r \rangle = \lambda r : B.lr = \begin{array}{c} 32 \\ 22 \\ 21 \\ 20 \\ 11 \\ 10 \\ 01 \\ 00 \end{array} \begin{array}{c} 12 \\ 02 \end{array} \quad B = \begin{array}{c} 32 \\ 22 \\ 21 \\ 20 \\ 11 \\ 10 \\ 01 \\ 00 \end{array}$$

Note that we are using the compact notation from the end of section 3: ' lr ' instead of ' $\langle l,r \rangle$ '.

6 Propositional calculus

A PC-structure is a tuple

$$L = (\Omega, \leq, \top, \perp, \wedge, \vee, \rightarrow, \leftrightarrow, \neg),$$

where:

- Ω is the "set of truth values",
- \leq is a relation on Ω ,
- \top and \perp are two elements of Ω ,
- $\wedge, \vee, \rightarrow, \leftrightarrow$ are functions from $\Omega \times \Omega$ to Ω ,
- \neg is a function from Ω to Ω .

Classical Logic "is" a PC-structure, with $\Omega = \{0, 1\}$, $\top = 1$, $\perp = 0$, $\leq = \{(0,0), (0,1), (1,0)\}$, $\wedge = \left\{ \begin{array}{l} ((0,0),0), ((0,1),0), \\ ((1,0),0), ((1,1),1) \end{array} \right\}$, etc.

PC-structures let us interpret expressions from Propositional Calculus ("PC-expressions"), and let us define a notion of *tautology*. For example, in Classical Logic,

- $\neg\neg P \leftrightarrow P$ is a tautology because it is valid (i.e., it yields \top) for all values of P in Ω ,
- $\neg(P \wedge Q) \rightarrow (\neg P \vee \neg Q)$ is a tautology because it is valid for all values of P and Q in Ω ,
- but $P \vee Q \rightarrow P \wedge Q$ is *not* a tautology, because when $P = 0$ and $Q = 1$ the result is not \top :

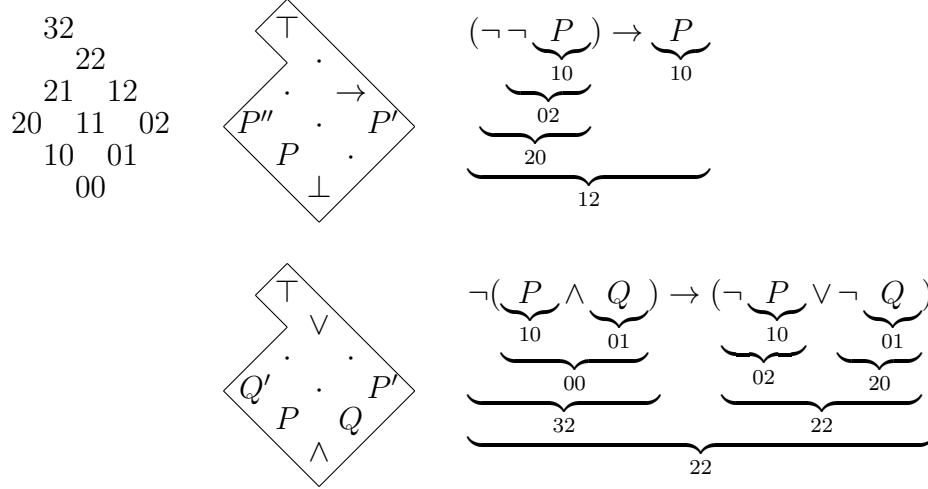
$$\underbrace{\underbrace{\underbrace{P}_{0} \vee \underbrace{Q}_{1}}_{1}}_{0} \rightarrow \underbrace{\underbrace{\underbrace{P}_{0} \wedge \underbrace{Q}_{1}}_{0}}_{0}$$

7 Propositional calculus in a ZHA

Let Ω be the set of points of a ZHA and \leq the default partial order on it. The *default meanings* for $\top, \perp, \wedge, \vee, \rightarrow, \leftrightarrow, \neg$ are these ones:

$$\begin{aligned} \langle a, b \rangle \leq \langle c, d \rangle &:= a \leq c \wedge b \leq d \\ \langle a, b \rangle \geq \langle c, d \rangle &:= a \geq c \wedge b \geq d \\ \langle a, b \rangle \text{ above } \langle c, d \rangle &:= a \geq c \wedge b \geq d \\ \langle a, b \rangle \text{ below } \langle c, d \rangle &:= a \leq c \wedge b \leq d \\ \langle a, b \rangle \text{ leftof } \langle c, d \rangle &:= a \geq c \wedge b \leq d \\ \langle a, b \rangle \text{ rightof } \langle c, d \rangle &:= a \leq c \wedge b \geq d \\ \text{valid}(\langle a, b \rangle) &:= \langle a, b \rangle \in \Omega \\ \text{ne}(\langle a, b \rangle) &:= \text{if valid}(\langle a, b + 1 \rangle) \text{ then ne}(\langle a, b + 1 \rangle) \text{ else } \langle a, b \rangle \text{ end} \\ \text{nw}(\langle a, b \rangle) &:= \text{if valid}(\langle a + 1, b \rangle) \text{ then nw}(\langle a + 1, b \rangle) \text{ else } \langle a, b \rangle \text{ end} \\ \langle a, b \rangle \wedge \langle c, d \rangle &:= \langle \min(a, c), \min(b, d) \rangle \\ \langle a, b \rangle \vee \langle c, d \rangle &:= \langle \max(a, c), \max(b, d) \rangle \\ \langle a, b \rangle \rightarrow \langle c, d \rangle &:= \text{if } \langle a, b \rangle \text{ below } \langle c, d \rangle \text{ then } \top \\ &\quad \text{elseif } \langle a, b \rangle \text{ leftof } \langle c, d \rangle \text{ then ne}(\langle c, d \rangle) \\ &\quad \text{elseif } \langle a, b \rangle \text{ rightof } \langle c, d \rangle \text{ then nw}(\langle c, d \rangle) \\ &\quad \text{elseif } \langle a, b \rangle \text{ above } \langle c, d \rangle \text{ then } \langle c, d \rangle \\ &\quad \text{end} \\ \top &:= \text{sup}(\Omega) \\ \perp &:= \langle 0, 0 \rangle \\ \neg \langle a, b \rangle &:= \langle a, b \rangle \rightarrow \perp \\ \langle a, b \rangle \leftrightarrow \langle c, d \rangle &:= (\langle a, b \rangle \rightarrow \langle c, d \rangle) \wedge (\langle c, d \rangle \rightarrow \langle a, b \rangle) \end{aligned}$$

Let Ω be the ZHA at the top left in the figure below. Then, with the default meanings for the connectives neither $\neg\neg P \rightarrow P$ nor $\neg(P \wedge Q) \rightarrow (\neg P \vee \neg Q)$ are tautologies, as there are valuations that make them yield results different than $\top = 32$:



So: *some* classical tautologies are not tautologies in this ZHA.

The somewhat arbitrary-looking definition of ‘ \rightarrow ’ will be explained at the end of the next section.

8 Heyting Algebras

A *Heyting Algebra* is a PC-structure

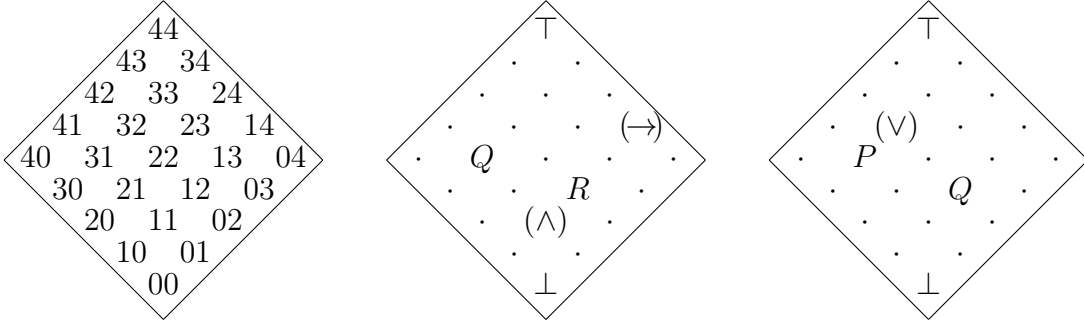
$$H = (\Omega, \leq_H, \top_H, \perp_H, \wedge_H, \vee_H, \rightarrow_H, \leftrightarrow_H, \neg_H),$$

in which:

- 1) (Ω, \leq_H) is a partial order
- 2) \top_H is the top element of the partial order
- 3) \perp_H is the bottom element of the partial order
- 4) $P \leftrightarrow_H Q$ is the same as $(P \rightarrow_H Q) \wedge_H (Q \rightarrow_H P)$
- 5) $\neg_H P$ is the same as $P \rightarrow_H \perp_H$
- 6) $\forall P, Q, R \in \Omega. (P \leq_H (Q \wedge_H R)) \leftrightarrow ((P \leq_H Q) \wedge (P \leq_H R))$
- 7) $\forall P, Q, R \in \Omega. ((P \vee_H Q) \leq_H R) \leftrightarrow ((P \leq_H R) \wedge (Q \leq_H R))$
- 8) $\forall P, Q, R \in \Omega. (P \leq_H (Q \rightarrow_H R)) \leftrightarrow ((P \wedge_H Q) \leq_H R)$
- 6') $\forall Q, R \in \Omega. \exists! Y \in \Omega. \forall P \in \Omega. (P \leq_H Y) \leftrightarrow ((P \leq_H Q) \wedge (P \leq_H R))$
- 7') $\forall P, Q \in \Omega. \exists! X \in \Omega. \forall R \in \Omega. (X \leq_H R) \leftrightarrow ((P \leq_H R) \wedge (Q \leq_H R))$
- 8') $\forall Q, R \in \Omega. \exists! Y \in \Omega. \forall P \in \Omega. (P \leq_H Y) \leftrightarrow ((P \wedge_H R) \leq_H Q)$

The conditions 6', 7', 8' say that there are unique elements in Ω that "behave as" $Q \wedge_H R$, $P \vee_H Q$ and $Q \rightarrow_H R$ for given P, Q, R ; the conditions 6,7,8 say that $Q \wedge_H R$, $P \vee_H Q$ and $Q \rightarrow_H R$ are exactly the elements with this behavior.

The positional notation on ZHAs is very helpful for visualizing what the conditions 6',7',8',6,7,8 mean. Let Ω be the ZDAG on the left below:



we will see that

- a) if $Q = 31$ and $R = 12$ then $Q \wedge_H R = 11$,
- b) if $P = 31$ and $Q = 12$ then $P \vee_H Q = 32$,
- c) if $Q = 31$ and $R = 12$ then $Q \rightarrow_H R = 14$.

Let's see each case separately — but, before we start, note that in 6, 7, 8, 6', 7', 8' we work part with truth values in Ω and part with standard truth values. For example, in 6, with $P = 20$, we have:

$$\underbrace{\underbrace{\underbrace{(P \leq_H Q)}_{20} \wedge_H \underbrace{(R)}_{12}}_{31}}_{11} \leftrightarrow \underbrace{\underbrace{(P \leq_H Q)}_{20} \wedge \underbrace{(P \leq_H R)}_{0}}_{1}}$$

- a) Let $Q = 31$ and $R = 12$. We want to see that $Q \wedge_H R = 11$, i.e., that

$$\forall P \in \Omega. (P \leq_H Y) \leftrightarrow ((P \leq_H Q) \wedge (P \leq_H R))$$

holds for $Y = 11$ and for no other $Y \in \Omega$. We can visualize the behavior of $P \leq_H Q$ for all 'P's by drawing $\lambda P:\Omega.(P \leq_H Q)$ in the positional notation; then we do the same for $\lambda P:\Omega.(P \leq_H R)$ and for $\lambda P:\Omega.((P \leq_H Q) \wedge (P \leq_H R))$. Suppose that the full expression, ' $\forall P:\Omega. \underline{\quad}$ ', is true; then the behavior of the left side of the ' \leftrightarrow ', $\lambda P:\Omega.(P \leq_H Y)$, has to be a copy of the behavior of the right side, and that lets us find the only adequate value for Y .

The order in which we calculate and draw things is below, followed by the results themselves:

$$\underbrace{(P \leq_H \underbrace{Y}_{(7)})}_{(6)} \leftrightarrow ((\underbrace{(P \leq_H \underbrace{Q}_{(1)})}_{(3)} \wedge \underbrace{(P \leq_H \underbrace{R}_{(2)})}_{(4)})_{(5)}$$

$$\underbrace{(P \leq_H \underbrace{Y}_{11})}_{11} \leftrightarrow ((\underbrace{(P \leq_H \underbrace{Q}_{31})}_{31} \wedge \underbrace{(P \leq_H \underbrace{R}_{12})}_{12})_{32}$$

b) Let $P = 31$ and $Q = 12$. We want to see that $P \vee_H Q = 32$, i.e., that

$$\forall R:\Omega. (X \leq_H R) \leftrightarrow ((P \leq_H R) \wedge (Q \leq_H R))$$

holds for $X = 32$ and for no other $X \in \Omega$. We do essentially the same as we did in (a), but now we calculate $\lambda R:\Omega.(P \leq_H R)$, $\lambda R:\Omega.(Q \leq_H R)$, and $\lambda R:\Omega.((P \leq_H R) \wedge (Q \leq_H R))$. The order in which we calculate and draw things is below, followed by the results themselves:

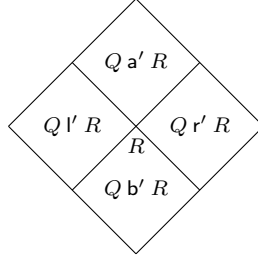
$$\underbrace{(X \leq_H R)}_{(7)} \leftrightarrow ((\underbrace{(P \leq_H R)}_{(1)})_{(3)} \wedge \underbrace{(Q \leq_H R)}_{(2)})_{(4)}$$

$$\underbrace{(X \leq_H R)}_{32} \leftrightarrow ((\underbrace{(P \leq_H R)}_{31})_{31} \wedge \underbrace{(Q \leq_H R)}_{12})_{32}$$

In ‘ \xrightarrow{C} ’ the order of the cases is very important. For example, if $cd = 21$ and $ef = 23$ then both “ cd below ef ” and “ cd leftof ef ” are true, but “ cd below ef ” takes precedence and so $cd \xrightarrow{C} ef = \top$. We can fix this by creating variants of below, leftof, rightof and above that make the four cases disjoint. Abbreviating below, leftof, rightof and above as b , l , r and a , we have:

$$\begin{aligned} cd \mathbf{b} ef &:= c \leq e \wedge d \leq f & cd \mathbf{b}' ef &:= c \leq e \wedge d \leq f \\ cd \mathbf{l} ef &:= c \leq e \wedge d \geq f & cd \mathbf{l}' ef &:= c \leq e \wedge d > f \\ cd \mathbf{r} ef &:= c \geq e \wedge d \leq f & cd \mathbf{r}' ef &:= c > e \wedge d \leq f \\ cd \mathbf{a} ef &:= c > e \wedge d > f & cd \mathbf{a}' ef &:= c > e \wedge d > f \end{aligned}$$

visually the regions are these, for R fixed:



We clearly have:

$$Q \xrightarrow{C} R = \begin{pmatrix} \text{if } Q \mathbf{b} R \text{ then } \top \\ \text{elseif } Q \mathbf{l} R \text{ then } \text{ne}(R) \\ \text{elseif } Q \mathbf{r} R \text{ then } \text{nw}(R) \\ \text{elseif } Q \mathbf{a} R \text{ then } R \\ \text{end} \end{pmatrix} = \begin{pmatrix} \text{if } Q \mathbf{b}' R \text{ then } \top \\ \text{elseif } Q \mathbf{l}' R \text{ then } \text{ne}(R) \\ \text{elseif } Q \mathbf{r}' R \text{ then } \text{nw}(R) \\ \text{elseif } Q \mathbf{a}' R \text{ then } R \\ \text{end} \end{pmatrix}$$

and $P \leq Q \xrightarrow{C} R$ can be expressed as a conjunction of the four cases:

$$\begin{aligned} & ((P \leq Q \xrightarrow{C} R) \leftrightarrow (P \wedge Q \leq R)) \\ & \leftrightarrow \begin{pmatrix} Q \mathbf{b}' R \rightarrow ((P \leq Q \xrightarrow{C} R) \leftrightarrow (P \wedge Q \leq R)) \wedge \\ Q \mathbf{l}' R \rightarrow ((P \leq Q \xrightarrow{C} R) \leftrightarrow (P \wedge Q \leq R)) \wedge \\ Q \mathbf{r}' R \rightarrow ((P \leq Q \xrightarrow{C} R) \leftrightarrow (P \wedge Q \leq R)) \wedge \\ Q \mathbf{a}' R \rightarrow ((P \leq Q \xrightarrow{C} R) \leftrightarrow (P \wedge Q \leq R)) \end{pmatrix} \\ & \leftrightarrow \begin{pmatrix} Q \mathbf{b}' R \rightarrow ((P \leq \top) \leftrightarrow (P \wedge Q \leq R)) \wedge \\ Q \mathbf{l}' R \rightarrow ((P \leq \text{ne}(R)) \leftrightarrow (P \wedge Q \leq R)) \wedge \\ Q \mathbf{r}' R \rightarrow ((P \leq \text{nw}(R)) \leftrightarrow (P \wedge Q \leq R)) \wedge \\ Q \mathbf{a}' R \rightarrow ((P \leq R) \leftrightarrow (P \wedge Q \leq R)) \end{pmatrix} \end{aligned}$$

Let's introduce a notation: a “ \widehat{a} ” means “make this digit as big possible without leaving the ZHA”. So,

$$\begin{array}{r} \text{in} \\ \begin{array}{r} 54 \\ 53 \ 44 \\ 43 \ 34 \\ 42 \ 33 \ 24 \\ 32 \ 23 \\ 31 \ 22 \ 13 \\ 21 \ 12 \ 03 \\ 20 \ 11 \ 02 \\ 10 \ 01 \\ 00 \end{array} \end{array} \quad \text{we have} \quad \begin{array}{l} \widehat{12} = 54 = \top, \\ \widehat{12} = 13 = \text{ne}(12), \\ \widehat{12} = 42 = \text{nw}(12); \end{array}$$

This lets us rewrite \top as \widehat{ef} , $\text{ne}(ef)$ as \widehat{ef} , and $\text{nw}(ef)$ as \widehat{ef} . Making $P = ab$, $Q = cd$, $R = ef$, we have:

$$\begin{aligned} & ((ab \leq cd \xrightarrow{C} ef) \leftrightarrow (ab \wedge cd \leq ef)) \\ & \Leftrightarrow \left(\begin{array}{l} cd \mathbf{b}' ef \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq ef)) \quad \wedge \\ cd \mathbf{l}' ef \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq ef)) \quad \wedge \\ cd \mathbf{r}' ef \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq ef)) \quad \wedge \\ cd \mathbf{a}' ef \rightarrow ((ab \leq ef) \leftrightarrow (ab \wedge cd \leq ef)) \end{array} \right) \\ & \Leftrightarrow \left(\begin{array}{l} c \leq e \wedge d \leq f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq ef)) \quad \wedge \\ c > e \wedge d \leq f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq ef)) \quad \wedge \\ c \leq e \wedge d > f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq ef)) \quad \wedge \\ c > e \wedge d > f \rightarrow ((ab \leq ef) \leftrightarrow (ab \wedge cd \leq ef)) \end{array} \right) \\ & \Leftrightarrow \left(\begin{array}{l} c \leq e \wedge d \leq f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq cd)) \quad \wedge \\ c > e \wedge d \leq f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq ed)) \quad \wedge \\ c \leq e \wedge d > f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow (ab \wedge cd \leq cf)) \quad \wedge \\ c > e \wedge d > f \rightarrow ((ab \leq ef) \leftrightarrow (ab \wedge cd \leq ef)) \end{array} \right) \\ & \Leftrightarrow \left(\begin{array}{l} c \leq e \wedge d \leq f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow \top) \quad \wedge \\ c > e \wedge d \leq f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow a \leq e) \quad \wedge \\ c \leq e \wedge d > f \rightarrow ((ab \leq \widehat{ef}) \leftrightarrow b \leq f) \quad \wedge \\ c > e \wedge d > f \rightarrow ((ab \leq ef) \leftrightarrow (a \leq e \wedge b \leq f)) \end{array} \right) \end{aligned}$$

In the last conjunction the four cases are trivial to check.

10 Logic in a Heyting Algebra

In sec.8 we saw a set of conditions — called 1 to 8’ — that characterize the “Heyting-Algebra-ness” of a PC-structure. It is easy to see that Heyting-Algebra-ness, or “HA-ness”, is equivalent to this set of conditions:

1	$\forall P. (P \leq P)$	id
	$\forall P, Q, R. (P \leq R) \leftarrow (P \leq Q) \wedge (Q \leq R)$	comp
2	$\forall P. (P \leq \top)$	\top_1
3	$\forall Q. (\perp \leq Q)$	\perp_1
6	$\forall P, Q, R. (P \leq Q \wedge R) \rightarrow (P \leq Q)$	\wedge_1
	$\forall P, Q, R. (P \leq Q \wedge R) \rightarrow (P \leq R)$	\wedge_2
	$\forall P, Q, R. (P \leq Q \wedge R) \leftarrow (P \leq Q) \wedge (P \leq R)$	\wedge_3
7	$\forall P, Q, R. (P \vee Q \leq R) \rightarrow (P \leq R)$	\vee_1
	$\forall P, Q, R. (P \vee Q \leq R) \rightarrow (Q \leq R)$	\vee_2
	$\forall P, Q, R. (P \vee Q \leq R) \leftarrow (P \leq R) \wedge (Q \leq R)$	\vee_3
8	$\forall P, Q, R. (P \leq Q \rightarrow R) \rightarrow (P \wedge Q \leq R)$	\rightarrow_1
	$\forall P, Q, R. (P \leq Q \rightarrow R) \leftarrow (P \wedge Q \leq R)$	\rightarrow_2

We omitted the conditions 4 and 5, that defined ‘ \leftrightarrow ’ and ‘ \neg ’ in terms of the other operators. The last column gives a name to each of these new conditions.

These new conditions let us put (some) proofs about HAs in tree form, as we shall see soon.

Let us introduce two new notations. The first one,

$$(\text{expr}) \left[\begin{array}{l} v_1 := \text{repl}_1 \\ v_2 := \text{repl}_2 \end{array} \right]$$

indicates simultaneous substitution of all (free) occurrences of the variables v_1 and v_2 in expr by repl_1 and repl_2 . For example,

$$((x + y) \cdot z) \left[\begin{array}{l} x := a + y \\ y := b + z \\ z := c + x \end{array} \right] = ((a + y) + (b + z)) \cdot (c + x).$$

The second is a way to write ‘ \rightarrow ’s as horizontal bars. In

$$\frac{A \quad B \quad C}{D} \alpha \quad \frac{E \quad F}{G} \beta \quad \frac{H}{I} \gamma \quad \bar{J} \delta \quad \frac{\overline{K} \quad \epsilon \quad \frac{L \quad M}{N} \zeta \quad O}{P} \eta$$

the trees mean:

- if A, B, C are true then D is true (by α),

- if E, F , are true then G is true (by β),
- if H is true then I is true (by γ),
- J is true (by δ , with no hypotheses),
- K is true (by ϵ); if L and M then N (by ζ); if K, N, O , then P (by η); combining all this we get a way to prove that if L, M, O , then P ,

where $\alpha, \beta, \gamma, \delta, \epsilon, \zeta, \eta$ are usually names of rules.

The implications in the table in the beginning of this section can be rewritten as “tree rules” as:

$$\begin{array}{c}
\frac{}{P \leq P} \text{id} \quad \frac{P \leq Q \quad Q \leq R}{P \leq R} \text{comp} \quad \frac{}{P \leq \top} \top_1 \quad \frac{}{\perp \leq Q} \perp_1 \\
\\
\frac{P \leq Q \wedge R}{P \leq Q} \wedge_1 \quad \frac{P \leq Q \wedge R}{P \leq R} \wedge_2 \quad \frac{P \leq Q \quad P \leq R}{P \leq Q \wedge R} \wedge_3 \\
\\
\frac{P \vee Q \leq R}{P \leq R} \vee_1 \quad \frac{P \vee Q \leq R}{Q \leq R} \vee_2 \quad \frac{P \leq R \quad Q \leq R}{P \vee Q \leq R} \vee_3 \\
\\
\frac{P \leq Q \rightarrow R}{P \wedge Q \leq R} \rightarrow_1 \quad \frac{P \wedge Q \leq R}{P \leq Q \rightarrow R} \rightarrow_2
\end{array}$$

Note that the ‘ $\forall P, Q, R \in \Omega$ ’s are left implicit in the tree rules, which means that every *substitution instance of the tree rules hold*; sometimes — but rarely — we will indicate the substitution explicitly, like this,

$$\begin{array}{c}
\left(\frac{P \wedge Q \leq R}{P \leq Q \rightarrow R} \rightarrow_2 \right) \left[\begin{array}{l} Q := P \rightarrow \perp \\ R := \perp \end{array} \right] \rightsquigarrow \frac{P \wedge (P \rightarrow \perp) \leq \perp}{P \leq ((P \rightarrow \perp) \rightarrow \perp)} \rightarrow_2 \\
\\
(\rightarrow_2) \left[\begin{array}{l} Q := P \rightarrow \perp \\ R := \perp \end{array} \right] \rightsquigarrow \frac{P \wedge (P \rightarrow \perp) \leq \perp}{P \leq ((P \rightarrow \perp) \rightarrow \perp)} \rightarrow_2 \left[\begin{array}{l} Q := P \rightarrow \perp \\ R := \perp \end{array} \right]
\end{array}$$

Usually we will only say ‘ \rightarrow_2 ’ instead of ‘ $\rightarrow_2 \left[\begin{array}{l} Q := P \rightarrow \perp \\ R := \perp \end{array} \right]$ ’ at the right of a bar, and the task of discovering which substitution has been used is left to the reader.

The tree rules can be composed in a nice visual way. For example, this,

$$\frac{\frac{\frac{}{P \wedge Q \leq P \wedge Q} \text{id}}{P \wedge Q \leq P} \wedge_1 \quad P \leq R}{P \wedge Q \leq R} \text{comp} \quad \frac{\frac{\frac{}{P \wedge Q \leq P \wedge Q} \text{id}}{P \wedge Q \leq Q} \wedge_2 \quad Q \leq S}{P \wedge Q \leq S} \text{comp}}{P \wedge Q \leq R \wedge S} \wedge_3$$

“is” a proof for:

$$\forall P, Q, R, S \in \Omega. (P \leq R) \wedge (Q \leq S) \rightarrow ((P \wedge Q) \leq (R \wedge S)).$$

10.1 Derived rules

Note: in this section we will ignore the operators ‘ \leftrightarrow ’ and ‘ \neg ’ in PC-structures; we will think that every ‘ $P \leftrightarrow Q$ ’ is as abbreviation for ‘ $(P \rightarrow Q) \wedge (Q \rightarrow P)$ ’ and every ‘ $\neg P$ ’ is an abbreviation for ‘ $P \rightarrow \top$ ’.

We’ll write $[\top_1], \dots, [\rightarrow_2]$ for the “linear” versions of the rules in last section — for example, $[\rightarrow_2]$ is $(\forall P, Q, R \in \Omega. (P \wedge Q \leq R) \rightarrow (P \leq Q \rightarrow R))$ — and if $S = \{r_1, \dots, r_n\}$ is a set of rules, each in tree form, then $[S] = [r_1] \wedge \dots \wedge [r_n]$, and an “ S -tree” is a proof in tree form that only uses rules that are in the set S .

Let $\text{HA-ness}_1, \text{HA-ness}_2, \text{HA-ness}_3$, be these sets, with the rules from sec.10:

$$\begin{aligned} \text{HA-ness}_1 &= \{\text{id}, \text{comp}, \top_1, \perp_1, \wedge_3, \vee_3, \rightarrow_2\}, \\ \text{HA-ness}_2 &= \{\wedge_1, \wedge_2, \vee_1, \vee_2, \rightarrow_1\}, \\ \text{HA-ness}_3 &= \text{HA-ness}_1 \cup \text{HA-ness}_2 \end{aligned}$$

and let $\text{HA-ness}_4, \text{HA-ness}_5$ and HA-ness_7 be these ones, where the new rules are the ones at the left column of Figure 1:

$$\begin{aligned} \text{HA-ness}_4 &= \{\wedge_4, \wedge_5, \vee_4, \vee_5, \text{MP}_0, \text{MP}\} \\ \text{HA-ness}_5 &= \text{HA-ness}_1 \cup \text{HA-ness}_4 \\ \text{HA-ness}_7 &= \text{HA-ness}_1 \cup \text{HA-ness}_2 \cup \text{HA-ness}_4 \end{aligned}$$

Note that the trees in the right of Figure 1 are HA-ness_3 -trees.

Figure 1 can be interpreted in two ways. The first one is that it shows that

$$\begin{aligned} [\text{HA-ness}_3] &\rightarrow [\wedge_4], \\ [\text{HA-ness}_3] &\rightarrow [\wedge_5], \\ [\text{HA-ness}_3] &\rightarrow [\vee_4], \\ [\text{HA-ness}_3] &\rightarrow [\vee_5], \\ [\text{HA-ness}_3] &\rightarrow [\text{MP}_0], \\ [\text{HA-ness}_3] &\rightarrow [\text{MP}], \\ [\text{HA-ness}_3] &\rightarrow [\text{HA-ness}_4], \\ [\text{HA-ness}_3] &\rightarrow [\text{HA-ness}_7]; \end{aligned}$$

the second one is that it shows a way to replace occurrences of $\wedge_4, \wedge_5, \vee_4, \vee_5, \text{MP}_0, \text{MP}$. Take an HA-ness_7 -tree, T . Call it hypotheses H_1, \dots, H_n , and its conclusion C . Replace each occurrence of $\wedge_4, \wedge_5, \vee_4, \vee_5, \text{MP}_0, \text{MP}$ in T by the corresponding tree in the right side of Figure 1. The result is a new tree, T' , which is “equivalent” to T in the sense of having the same hypotheses and conclusion as T . So,

$$\begin{array}{l}
\overline{Q \wedge R \leq Q}^{\wedge_4} := \frac{\overline{Q \wedge R \leq Q \wedge R} \text{ id } [P:=Q \wedge R]}{\overline{Q \wedge R \leq Q} \wedge_1 [P:=Q \wedge R]} \\
\overline{Q \wedge R \leq R}^{\wedge_5} := \frac{\overline{Q \wedge R \leq Q \wedge R} \text{ id } [P:=Q \wedge R]}{\overline{Q \wedge R \leq R} \wedge_2 [P:=Q \wedge R]} \\
\overline{P \leq P \vee Q}^{\vee_4} := \frac{\overline{P \vee Q \leq P \vee Q} \text{ id } [P:=P \vee Q]}{\overline{P \leq P \vee Q} \vee_1 [R:=P \vee Q]} \\
\overline{Q \leq P \vee Q}^{\vee_5} := \frac{\overline{P \vee Q \leq P \vee Q} \text{ id } [P:=P \vee Q]}{\overline{Q \leq P \vee Q} \vee_2 [R:=P \vee Q]} \\
\overline{Q \wedge (Q \rightarrow R) \leq R}^{\text{MP}_0} := \frac{\overline{Q \rightarrow R \leq Q \rightarrow R} \text{ id}}{(\overline{Q \rightarrow R} \wedge \overline{Q \leq R}) \rightarrow_1} \\
\frac{\overline{P \leq Q} \quad \overline{P \leq Q \rightarrow R}}{\overline{P \leq R}}^{\text{MP}} := \frac{\overline{P \leq Q} \quad \overline{P \leq Q \rightarrow R}}{\overline{P \leq Q \wedge (Q \rightarrow R)}} \quad \frac{\overline{Q \wedge (Q \rightarrow R) \leq R}}{\overline{P \leq R}}^{\text{MP}_0}_{\text{comp}}
\end{array}$$

Figure 1: Derived rules

$$\begin{array}{l}
 \frac{P \leq Q \wedge R}{P \leq Q} \wedge_1 := \frac{P \leq Q \wedge R \quad \overline{Q \wedge R \leq Q} \wedge_4}{P \leq Q} \text{comp} \\
 \\
 \frac{P \leq Q \wedge R}{P \leq R} \wedge_2 := \frac{P \leq Q \wedge R \quad \overline{Q \wedge R \leq R} \wedge_5}{P \leq R} \text{comp} \\
 \\
 \frac{P \vee Q \leq R}{P \leq R} \vee_1 := \frac{\overline{P \leq P \vee Q} \vee_4 \quad P \vee Q \leq R}{P \leq R} \text{comp} \\
 \\
 \frac{P \vee Q \leq R}{Q \leq R} \vee_2 := \frac{\overline{Q \leq P \vee Q} \vee_5 \quad P \vee Q \leq R}{Q \leq R} \text{comp} \\
 \\
 \frac{P \leq Q \rightarrow R}{P \wedge Q \leq R} \rightarrow_1 := \\
 \\
 \frac{\overline{P \wedge Q \leq Q} \wedge_5 \quad \frac{\overline{P \wedge Q \leq P} \wedge_4 \quad P \leq Q \rightarrow R}{P \wedge Q \leq Q \rightarrow R} \text{comp}}{\frac{P \wedge Q \leq Q \wedge (Q \rightarrow R)}{P \wedge Q \leq R} \wedge_3} \frac{\overline{Q \wedge (Q \rightarrow R) \leq R} \text{MP}_0}{\text{comp}}
 \end{array}$$

Figure 2: Derived rules (2)

- every HA-ness₃-tree is an HA-ness₇-tree,
- every HA-ness₇-tree is “equivalent” to an HA-ness₃-tree.

We call this trick “derived rules” — the rules in HA-ness₄ are “derived” from HA-ness₃, and HA-ness₃ and HA-ness₇ are “equivalent” in the sense that they “prove the same things”.

Now look at Figure 2. It has the rules in HA-ness₂ at the left, and HA-ness₅-trees at the right; it shows that

$$\begin{aligned}
[\text{HA-ness}_5] &\rightarrow [\wedge_1], \\
[\text{HA-ness}_5] &\rightarrow [\wedge_2], \\
[\text{HA-ness}_5] &\rightarrow [\vee_1], \\
[\text{HA-ness}_5] &\rightarrow [\vee_2], \\
[\text{HA-ness}_5] &\rightarrow [\rightarrow_2], \\
[\text{HA-ness}_5] &\rightarrow [\text{HA-ness}_2], \\
[\text{HA-ness}_5] &\rightarrow [\text{HA-ness}_7],
\end{aligned}$$

and it also shows how to take an HA-ness₇-tree T and replace every occurrence of an HA-ness₄-rule in it by an HA-ness₃-tree, producing an HA-ness₃-tree T' which is “equivalent” to T . This means that:

- every HA-ness₅-tree is an HA-ness₇-tree,
- every HA-ness₇-tree is “equivalent” to an HA-ness₅-tree,

and that HA-ness₃, HA-ness₇ and HA-ness₅ are all “equivalent”.

11 Topologies

The best way to connect ZHAs to several standard concepts is by seeing that ZHAs are topologies on certain finite sets — actually on 2-column acyclical graphs (sec.14). This will be done here and in the next few sections.

A *topology* on a set X is a subset \mathcal{U} of $\mathcal{P}(X)$ that contains the “everything” and the “nothing” and is closed by binary unions and intersections and by arbitrary unions. Formally:

- 1) \mathcal{U} contains X and \emptyset ,
- 2) if $P, Q \in \mathcal{U}$ then \mathcal{U} contains $P \cup Q$ and $P \cap Q$,
- 3) if $\mathcal{V} \subset \mathcal{U}$ then \mathcal{U} contains $\bigcup \mathcal{V}$.

A *topological space* is a pair (X, \mathcal{U}) where X is a set and \mathcal{U} is a topology on X . When (X, \mathcal{U}) is a topological space and $U \in \mathcal{U}$ we say that U is *open* in (X, \mathcal{U}) .

For example, let X be the ZSet $\begin{smallmatrix} \bullet & \bullet \\ \bullet & \bullet \end{smallmatrix}$, and let's use the characteristic function notation from sec.1 to denote its subsets — we write $X = \begin{smallmatrix} 1 & 1 \\ 1 & 1 \end{smallmatrix}$ and $\emptyset = \begin{smallmatrix} 0 & 0 \\ 0 & 0 \end{smallmatrix}$ instead of $X = \begin{smallmatrix} \bullet & \bullet \\ \bullet & \bullet \end{smallmatrix}$ and $\emptyset = \begin{smallmatrix} \cdot & \cdot \\ \cdot & \cdot \end{smallmatrix}$.

If $\mathcal{U} = \{\begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 0 \end{smallmatrix}\}$ then $\mathcal{U} \subset \mathcal{P}(X)$ but \mathcal{U} fails all the conditions in 1, 2, 3 above:

- 1) $X = \begin{smallmatrix} 1 & 1 \\ 1 & 1 \end{smallmatrix} \notin \mathcal{U}$ and $\emptyset = \begin{smallmatrix} 0 & 0 \\ 0 & 0 \end{smallmatrix} \notin \mathcal{U}$
- 2) Let $P = \begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix} \in \mathcal{U}$ and $Q = \begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix} \in \mathcal{U}$. Then $P \cap Q = \begin{smallmatrix} 0 & 0 \\ 0 & 0 \end{smallmatrix} \notin \mathcal{U}$ and $P \cup Q = \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \notin \mathcal{U}$.
- 3) Let $\mathcal{V} = \{\begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}\} \subset \mathcal{U}$. Then $\bigcup \mathcal{V} = \begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix} \cup \begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix} \cup \begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix} = \begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix} \notin \mathcal{U}$.

Now let $K = \begin{smallmatrix} \bullet & \bullet \\ \bullet & \bullet \end{smallmatrix}$ and $\mathcal{U} = \{\begin{smallmatrix} 0 & 0 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 1 & 0 \\ 1 & 0 \end{smallmatrix}, \begin{smallmatrix} 1 & 1 \\ 1 & 1 \end{smallmatrix}\}$. In this case (K, \mathcal{U}) is a topological space.

Some sets have “default” topologies on them, denoted with ‘ \mathcal{O} ’. For example, \mathbb{R} is often used to mean the topological space $(\mathbb{R}, \mathcal{O}(\mathbb{R}))$, where:

$$\mathcal{O}(\mathbb{R}) = \{U \subset \mathbb{R} \mid U \text{ is a union of open intervals}\}.$$

We say that a subset $U \subset \mathbb{R}$ is “open in \mathbb{R} ” (“in the default sense”; note that now we are saying just “open in \mathbb{R} ”, not “open in $(\mathbb{R}, \mathcal{O}(\mathbb{R}))$ ”) when U is a union of open intervals, i.e., when $U \in \mathcal{O}(\mathbb{R})$; but note that $\mathcal{P}(\mathbb{R})$ and $\{\emptyset, \mathbb{R}\}$ are also topologies on \mathbb{R} , and:

$$\begin{aligned} \{2, 3, 4\} \in \mathcal{P}(\mathbb{R}), & \quad \text{so } \{2, 3, 4\} \text{ is open in } (\mathbb{R}, \mathcal{P}(\mathbb{R})), \\ \{2, 3, 4\} \notin \mathcal{O}(\mathbb{R}), & \quad \text{so } \{2, 3, 4\} \text{ is not open in } (\mathbb{R}, \mathcal{O}(\mathbb{R})), \\ \{2, 3, 4\} \notin \{\emptyset, \mathbb{R}\}, & \quad \text{so } \{2, 3, 4\} \text{ is not open in } (\mathbb{R}, \{\emptyset, \mathbb{R}\}); \end{aligned}$$

when we say just “ U is open in X ”, this means that:

- 1) $\mathcal{O}(X)$ is clear from the context, and
- 2) $U \in \mathcal{O}(X)$.

12 The default topology on a ZSet

Let's define a default topology $\mathcal{O}(D)$ for each ZSet D .

For each ZSet D we define $\mathcal{O}(D)$ as:

$$\mathcal{O}(D) := \{U \subset D \mid \forall((x, y), (x', y')) \in \text{BPM}(D). \\ (x, y) \in U \rightarrow (x', y') \in U\}$$

whose visual meaning is this. Turn D into a ZDAG by adding arrows for the black pawns moves (sec.2), and regard each subset $U \subset D$ as a board configuration in which the black pieces may move down to empty positions through the arrows. A subset U is

“stable” when no moves are possible because all points of U “ahead” of a black piece are already occupied by black pieces; a subset U is “non-stable” when there is at least one arrow $((x, y), (x', y')) \in \text{BPM}(D)$ in which (x, y) had a black piece and (x', y') is an empty position.

In our two notations for subsets (sec.1) a subset $U \subset D$ is unstable when it has an arrow like ‘ $\bullet \rightarrow \cdot$ ’ or ‘ $1 \rightarrow 0$ ’; remember that black pawn moves arrows go down. A subset $U \subset D$ is stable when none of its ‘ \bullet ’s or ‘ 1 ’s can move down to empty positions.

“Open” is the same as “stable”. $\mathcal{O}(D)$ is the set of stable subsets of D .

Some examples:

$\begin{smallmatrix} 0 \\ 0 \\ 0 \end{smallmatrix} \begin{smallmatrix} 1 \\ 0 \\ 0 \end{smallmatrix}$ is not open because it has a 1 above a 0,

$$\mathcal{O}(\begin{smallmatrix} \bullet \\ \bullet \\ \bullet \end{smallmatrix}) = \left\{ \begin{smallmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 0 \end{smallmatrix}, \begin{smallmatrix} 1 & 0 \\ 1 & 1 \\ 1 & 1 \end{smallmatrix}, \begin{smallmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 1 \end{smallmatrix} \right\},$$

$$\mathcal{O}(\begin{smallmatrix} \bullet \\ \bullet \\ \bullet \end{smallmatrix}) = \left\{ \begin{smallmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 1 \end{smallmatrix}, \begin{smallmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 0 \end{smallmatrix}, \begin{smallmatrix} 1 & 0 \\ 1 & 1 \\ 1 & 1 \end{smallmatrix}, \begin{smallmatrix} 1 & 1 \\ 1 & 1 \\ 1 & 1 \end{smallmatrix} \right\}.$$

The definition of $\mathcal{O}(D)$ above can be generalized to any directed graph. If (A, R) is a directed graph, then $(A, \mathcal{O}_R(A))$ is a topological space if we define:

$$\mathcal{O}_R(A) := \{ U \subseteq A \mid \forall (a, b) \in R. (a \in U \rightarrow b \in U) \}$$

The two definitions are related as this: $\mathcal{O}(D) = \mathcal{O}_{\text{BPM}(D)}(D)$.

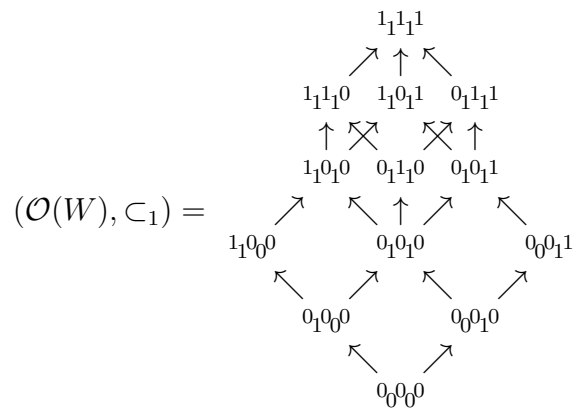
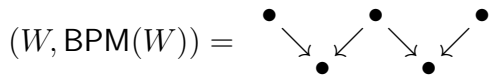
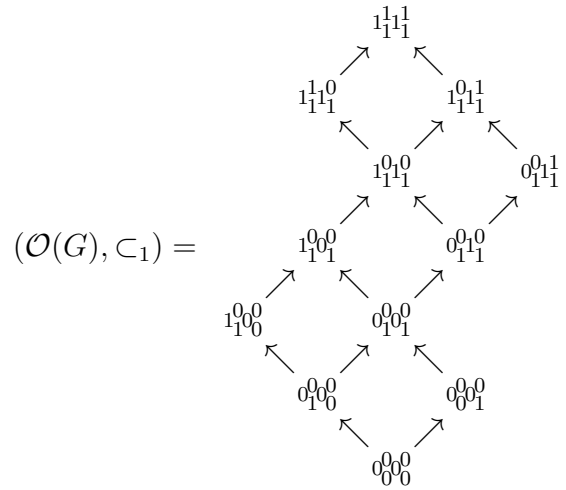
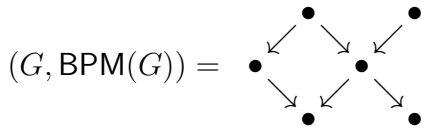
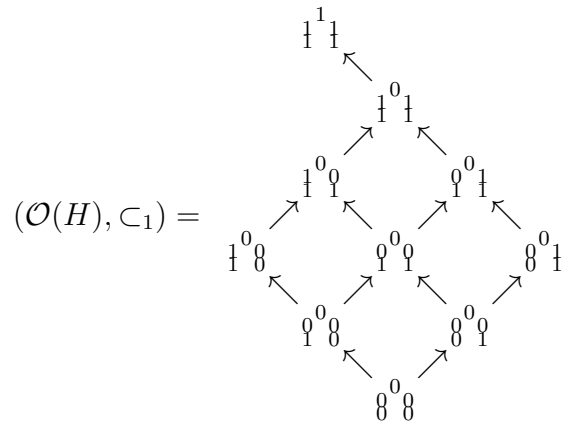
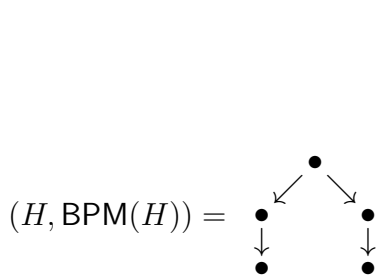
Note that we can see the arrows in $\text{BPM}(D)$ or in R as *obligations* that open sets must obey; each arrow $a \rightarrow b$ says that every open set that contains a is forced to contain b too.

13 Topologies as partial orders

For any topological space $(X, \mathcal{O}(X))$ we can regard $\mathcal{O}(X)$ as a partial order, ordered by inclusion, with \emptyset as its minimal element and X as its maximal element; we denote that partial order by $(\mathcal{O}(X), \subseteq)$.

Take any ZSet D . The partial order $(\mathcal{O}(D), \subseteq)$ will *sometimes* be a ZHA when we draw it with \emptyset at the bottom, D at the top, and inclusions pointing up, as can be seen in the three figures below; when $D = \begin{smallmatrix} \bullet \\ \bullet \\ \bullet \end{smallmatrix}$ or $D = \begin{smallmatrix} \bullet & \bullet \\ \bullet & \bullet \\ \bullet & \bullet \end{smallmatrix}$ the result is a ZHA, but when $D = \begin{smallmatrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{smallmatrix}$ it is not.

Let’s write “ $V \subset_1 U$ ” for “ $V \subseteq U$ and V and U differ in exactly one point”. When D is a ZSet the relation \subseteq on $\mathcal{O}(D)$ is the transitive-reflexive closure of \subset_1 , and $(\mathcal{O}(D), \subset_1)$ is easier to draw than $(\mathcal{O}(D), \subseteq)$.



We can formalize a “way to draw $\mathcal{O}(D)$ as a ZHA” (or “...as a ZDAG”) as a bijective function f from a ZHA (or from a ZSet) S to $\mathcal{O}(D)$ that creates a perfect correspondence between the white moves in S and the “ $V \subset_1 U$ -arrows”; more precisely, an f such that this holds: if $a, b \in S$ then $(a, b) \in \text{WPM}(S)$ iff $f(a) \subset_1 f(b)$.

Note that the *number of elements* in an open set corresponds to the *height* where it is drawn; if $f : S \rightarrow \mathcal{O}(D)$ is a way to draw $\mathcal{O}(D)$ as a ZHA or a ZDAG then f takes points of the form $(_, y)$ to open sets with y elements, and if $f : S \rightarrow \mathcal{O}(D)$ is a way to draw $\mathcal{O}(D)$ as a ZHA (not a ZDAG!) then we also have that $f((0, 0)) = \emptyset \in \mathcal{O}(D)$.

The diagram for $(\mathcal{O}(H), \subset_1)$ above is a way to draw $\mathcal{O}(H)$ as a ZHA.

The diagram for $(\mathcal{O}(G), \subset_1)$ above is a way to draw $\mathcal{O}(G)$ as a ZHA.

The diagram for $(\mathcal{O}(W), \subset_1)$ above is *not* a way to draw $\mathcal{O}(W)$ as a ZSet. Look at ${}^0_1{}^1_1{}^0$ and ${}^1_1{}^0_1{}^1$ in the middle of the cube formed by all open sets of the form $a_1 b_1 c$. We don't have ${}^0_1{}^1_1{}^0 \subset_1 {}^1_1{}^0_1{}^1$, but we do have a white pawn move (not draw in the diagram!) from $f^{-1}({}^0_1{}^1_1{}^0)$ to $f^{-1}({}^1_1{}^0_1{}^1)$. We say that a ZSet is *thin* when it doesn't have three independent points.

Every time that a ZSet D has three independent points, as in W , we will have a situation like in $(\mathcal{O}(W), \subset_1)$; for example, if $B = \bullet \bullet \bullet$ then the open sets of B of the form $a_1^0 b_1^0 c$ form a cube.

14 2-Column Graphs

Note: in this section we will manipulate objects with names like $1_, 2_, 3_, \dots, _1, _2, _3, \dots$; here are two good ways to formalize them:

$$\begin{array}{ccc} \vdots & \vdots & \vdots \quad \quad \quad \vdots \\ 4_ = (0, 4) & _4 = (1, 4) & \quad \quad \quad 4_ = "4_ " \quad _4 = "_4" \\ 3_ = (0, 3) & _3 = (1, 3) & \quad \text{or} \quad 3_ = "3_ " \quad _3 = "_3" , \\ 2_ = (0, 2) & _2 = (1, 2) & \quad \quad \quad 2_ = "2_ " \quad _2 = "_2" \\ 1_ = (0, 1) & _1 = (1, 1) & \quad \quad \quad 1_ = "1_ " \quad _1 = "_1" \end{array}$$

where $"1_ "$, $"_2"$, $" "$, $"Hello!"$, etc are strings.

We define:

$$\begin{aligned} \text{LC}(l) & := \{1_, 2_, \dots, l_ \} \\ \text{RC}(r) & := \{_1, _2, \dots, _r \}, \end{aligned}$$

which generate a “left column” of height l and a “right column” of height r .

A *description for a 2-column graph* (a “D2CG”) is a 4-tuple (l, r, R, L) , where $l, r \in \mathbb{N}$, $R \subset \text{LC}(l) \times \text{RC}(r)$, $L \subset \text{RC}(r) \times \text{LC}(l)$; l is the height of the left column, r is the height of the right column, and R and L are set of intercolumn arrows (going right and left respectively).

Let $(P, A) = 2\text{CG}(l, r, R, L)$ be a 2-column graph.

What happens if we look at the open sets of (P, A) , i.e., at $\mathcal{O}_A(P)$? Two things:

- 1) every open set $U \in \mathcal{O}_A(P)$ is of the form $\text{LC}(a) \cup \text{RC}(b)$,
- 2) arrows in R and L forbids some ' $\text{LC}(a) \cup \text{RC}(b)$'s from being open sets.

In order to understand that we need to introduce some notations for ‘‘piles’’.

The function

$$\text{pile}(\langle a, b \rangle) := \text{LC}(a) \cup \text{RC}(b)$$

converts an element $\langle a, b \rangle \in \mathbb{L}\mathbb{R}$ into a pile of elements in the left column of height a and a pile of elements in the right column of height b . We will write subsets of the points of a 2CG using a positional notation with arrows. So, for example, if $(P, A) = 2\text{CG}(3, 4, \{2_ \rightarrow _3\}, \{2_ \leftarrow _2\})$ then

$$(P, A) = \begin{pmatrix} & _4 \\ 3_ & _3 \\ 2_ & _2 \\ 1_ & _1 \end{pmatrix} \quad \text{and} \quad \text{pile}(21) = \begin{pmatrix} & 0 \\ 1_ & _0 \\ 1 & 1 \end{pmatrix} \quad (\text{as a subset of } P).$$

Note that $\text{pile}(21)$ is not open in $(P, \mathcal{O}_A(P))$, as it has an arrow ' $1 \rightarrow 0$ '. In fact, the presence of the arrow $\{2_ \rightarrow _3\}$ in A means that all piles of the form

$$\begin{pmatrix} & 0 \\ ? & _0 \\ 1_ & _? \\ 1 & ? \end{pmatrix}$$

are not open, the presence of the arrow $\{2_ \leftarrow _2\}$ means that the piles of the form

$$\begin{pmatrix} & ? \\ 0 & _? \\ 0_ & _1 \\ ? & 1 \end{pmatrix}$$

are not open sets.

The effect of these prohibitions can be expressed nicely with implications. If

$$(P, A) = 2\text{CG}(l, r, \{ \begin{smallmatrix} c_ \rightarrow _d \\ e_ \rightarrow _f \end{smallmatrix} \}, \{ \begin{smallmatrix} g_ \leftarrow _h \\ i_ \leftarrow _j \end{smallmatrix} \})$$

then

$$\mathcal{O}_A(P) = \{ \text{pile}(ab) \mid a \in \{0, \dots, l\}, b \in \{0, \dots, r\}, \left(\begin{array}{l} a \geq c \rightarrow b \geq d \wedge \\ a \geq e \rightarrow b \geq f \wedge \\ a \geq g \leftarrow b \geq h \wedge \\ a \geq i \leftarrow b \geq j \end{array} \right) \}$$

Let's use a shorter notation for comparing 2CGs and their topologies:

$$\mathcal{O} \left(\begin{array}{ccc} & & \text{--}5 \\ & & \downarrow \\ 4 & \text{--} & \text{--}4 \\ \downarrow & \nearrow & \downarrow \\ 3 & \text{--} & \text{--}3 \\ \downarrow & \nwarrow & \downarrow \\ 2 & \text{--} & \text{--}2 \\ \downarrow & \nearrow & \downarrow \\ 1 & \text{--} & \text{--}1 \end{array} \right) = \begin{array}{ccc} & & 45 \\ & & 44 \quad 35 \\ 43 & 34 & 25 \\ 42 & 33 & 24 \\ 32 & 23 & 14 \\ & 22 & 13 \\ 21 & 12 & 03 \\ 20 & 11 & 02 \\ & 10 & 01 \\ & & 00 \end{array}$$

the arrows in R and L and the values of l and r are easy to read from the 2CG at the left, and we omit the ‘pile’s at the right.

In a situation like the above we say that the 2CG in the ‘ $\mathcal{O}(\dots)$ ’ generates the ZHA at the right. There is an easy way to draw the ZHA generated by a 2CG, and a simple way to find the 2CG that generates a given ZHA. To describe them we need two new concepts.

If (A, R) is a directed graph and $S \subset A$ then $\downarrow S$ is the smallest open set in $\mathcal{O}_R(A)$ that contains S . If (A, R) is a ZDAG with black pawns moves as its arrows, think that the ‘1’s in S are painted with a black paint that is very wet, and that that paint flows into the ‘0’s below; the result of $\downarrow S$ is what we get when all the ‘0’s below ‘1’s get painted black. For example: $\downarrow 0_0^0 1_0^1 = 0_1^0 1_1^1$. When (P, A) is a 2CG and $S \subseteq P$, we have to think that the paint flows along the arrows, even if some of the intercolumn arrows point upward. For example:

$$\downarrow \left(\begin{array}{cc} & 0 \\ 0 & \leftarrow 0 \\ 0 & \leftarrow 1 \\ 1 & 0 \end{array} \right) = \left(\begin{array}{cc} & 0 \\ 1 & \leftarrow 1 \\ 1 & 1 \end{array} \right)$$

and if S consists of a single point, $S = \{s\}$, then we may write $\downarrow s$ instead of $\downarrow \{s\} = \downarrow S$. In the 2CG above, we have (omitting the ‘pile’s):

$$\downarrow \text{--}2 = \downarrow \{\text{--}2\} = \downarrow \left(\begin{array}{cc} & 0 \\ 0 & \leftarrow 0 \\ 0 & \leftarrow 1 \\ 0 & 0 \end{array} \right) = \left(\begin{array}{cc} & 0 \\ 1 & \leftarrow 1 \\ 1 & 1 \end{array} \right) = 23, \quad \text{and} \quad \begin{array}{l} \downarrow \text{--}4=24, \\ \downarrow \text{--}3=23, \\ \downarrow \text{--}2=23, \\ \downarrow \text{--}1=10, \quad \downarrow \text{--}1=01, \end{array}$$

The second concept is this: the “generators” of a ZDAG D with white pawns moves as its arrows — or of a ZHA D — are the points of D that have exactly one white pawn move pointing to them (not going out of them).

If (P, A) is a 2CAG, then $\mathcal{O}_A(P)$ is a ZHA, and ‘ \downarrow ’ is a bijection from P to the

generators of $\mathcal{O}_A(P)$; for example:

$$\mathcal{O} \left(\begin{array}{ccc} & & \downarrow -5 \\ & & \downarrow -4 \\ 4_ & & \downarrow -3 \\ \downarrow & \nearrow & \downarrow -2 \\ 3_ & & \downarrow -1 \\ \downarrow & \nearrow & \downarrow -1 \\ 2_ & & \downarrow -1 \\ \downarrow & \nearrow & \downarrow -1 \\ 1_ & & \downarrow -1 \end{array} \right) = \begin{array}{ccc} & & 45 \\ & & 44 \ 35 \\ & & 43 \ 34 \ 25 \\ 42 \ 33 \ 24 & & \\ & & 32 \ 23 \ 14 \\ & & 22 \ 13 \\ & & 21 \ 12 \ 03 \\ 20 \ 11 \ 02 & & \\ & & 10 \ 01 \\ & & 00 \end{array} \quad \begin{array}{ccc} & & \cdot \\ & & \cdot \\ & & \cdot \\ 4_ & \cdot & \cdot \ 5 \\ & 3_ & \cdot \ 4 \\ & & \cdot \\ & & \cdot \\ 2_ & \cdot & \cdot \ 3 \\ & 1_ & \cdot \ 2 \\ & & \cdot \\ & & \cdot \end{array}$$

but if (P, A) is a 2CG with cycles, then $\mathcal{O}_A(P)$ is not a ZHA because each cycle generates a “gap” that disconnects the points of $\mathcal{O}_A(P)$. We just saw an example of a 2CG with a cycle in which $\downarrow 2_ = 23 = \downarrow_ 3 = \downarrow_ 2$; look at its topology:

$$\mathcal{O} \left(\begin{array}{ccc} & & \downarrow -4 \\ & & \downarrow -3 \\ 3_ & & \downarrow -2 \\ \downarrow & \nearrow & \downarrow -1 \\ 2_ & \leftarrow & \downarrow -1 \\ \downarrow & & \downarrow -1 \\ 1_ & & \downarrow -1 \end{array} \right) = \begin{array}{ccc} & & 34 \\ & & 33 \ 24 \\ & & 23 \\ & & \\ & & 11 \\ 10 \ 01 & & \\ & & 00 \end{array}$$

16 Topologies as Heyting Algebras

The *open-set semantics* for Intuitionistic Propositional Logic is based on this idea: choose any topological space $(X, \mathcal{O}(X))$; the opens sets of $\mathcal{O}(X)$ will play the role of truth-values, and we define the components of a Heyting Algebra (sec.8) as this:

$$\begin{aligned} \Omega &:= \mathcal{O}(X) \\ P \leq Q &:= P \subseteq Q \\ \top &:= \{x \in X \mid \top\} &= X \\ \perp &:= \{x \in X \mid \perp\} &= \emptyset \\ P \wedge Q &:= \{x \in X \mid x \in P \wedge x \in Q\} &= P \cap Q \\ P \vee Q &:= \{x \in X \mid x \in P \vee x \in Q\} &= P \cup Q \\ P \xrightarrow{M} Q &:= \{x \in X \mid x \in P \rightarrow x \in Q\} \\ &= \{x \in X \mid x \notin P \vee x \in Q\} &= (X \setminus P) \cup Q \end{aligned}$$

However, this ‘ \xrightarrow{M} ’ may return a non-open result even when given open inputs,

$$\begin{array}{c} 0 \\ 1 \end{array} \begin{array}{c} 0 \\ 0 \end{array} \xrightarrow{M} \begin{array}{c} 0 \\ 1 \end{array} \begin{array}{c} 0 \\ 1 \end{array} = \begin{array}{c} 1 \\ 1 \end{array}$$

so our definition is broken; we can fix it by taking the interior:

$$P \rightarrow Q := \text{int}(P \overset{M}{\rightarrow} Q) = \text{int}((X \setminus P) \cup Q)$$

Theorem 16.1 *For any topological space $(X, \mathcal{O}(X))$ the structure $(\Omega, \leq, \top, \perp, \wedge, \vee, \rightarrow)$ defined as above is a Heyting Algebra. In particular, this holds for any $P, Q, R \in \Omega$: $P \leq (Q \rightarrow R)$ iff $(P \wedge Q) \leq R$.*

Proof. Standard; see for example [Awo06] (section 6.3). ■

Note that Theorem 16.1 gives us another way to calculate the connectives in 2CGs. In sec.7 we saw how to calculate $\neg\neg P \rightarrow P$ in a certain ZHA when $P = 10$; compare it with the “topological” way, in which the truth-values are subsets of $\begin{smallmatrix} \bullet \\ \bullet \\ \bullet \end{smallmatrix}$:

$\neg\neg \underbrace{P}_{10} \rightarrow \underbrace{P}_{10}$ $\underbrace{\quad}_{02}$ $\underbrace{\quad}_{20}$ $\underbrace{\quad}_{12}$	$\neg\neg \underbrace{P}_{10} \rightarrow \underbrace{P}_{10}$ $\begin{matrix} 0 & 0 \\ 1 & 0 \end{matrix}$ $\begin{matrix} 0 & 0 \\ 0 & 1 \end{matrix}$ $\begin{matrix} 0 & 1 \\ 1 & 0 \end{matrix}$ $\begin{matrix} 0 & 1 \\ 1 & 0 \end{matrix}$ $\begin{matrix} 0 & 1 \\ 1 & 1 \end{matrix}$
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17 Converting between ZHAs and 2CAGs

Let’s now see how to start from a 2CAG and produce its topology (a ZHA) quickly, and how to find quickly the 2CAG that generates a given ZHA.

From 2CAGs to ZHAs. Let $(P, A) = 2CG(l, r, R, L)$ be a 2CAG, and call the ZHA generated by it H . Then the top point of H is lr , and its bottom point is 00 . Let $C := \{00, \downarrow_1, \downarrow_2, \dots, \downarrow_l, lr\}$, i.e., the left generators (see the end of sec.15) plus \perp and \top ; then C has some of the points of the left wall (sec.4) of H , but usually not all. To “complete” C , apply this operation repeatedly: if $ab \in C$ and $ab \neq lr$, then test if either $(a+1)b$ or $a(b+1)$ are in C ; if none of them are, add $a(b+1)$, which is northeast of ab . When there is nothing else to add, then C is the whole of the left wall of H . For the right wall, start with $D := \{00, \downarrow_{-1}, \downarrow_{-2}, \dots, \downarrow_{-r}, lr\}$, and for each $ab \in C$ with $ab \neq lr$, test if either $(a+1)b$ or $a(b+1)$ are in D ; if none of them are, add $(a+1)b$, which is northwest of ab . When there is nothing else to add, then D is the whole of the right wall of H .

In the acyclic example of the last section this yields:

$$\begin{aligned}
C &= \{00, \downarrow 1_, \downarrow 2_, \downarrow 3_, \downarrow 4_, lr\} \\
&= \{00, 10, 20, 32, 42, 45\} \\
&\rightsquigarrow \{00, 10, 20, 21, 22, 32, 42, 43, 44, 45\}, \\
D &= \{00, \downarrow_1, \downarrow_2, \downarrow_3, \downarrow_4, \downarrow_5, lr\} \\
&= \{00, 01, 02, 03, 14, 25, 45\} \\
&\rightsquigarrow \{00, 01, 02, 03, 13, 14, 24, 25, 35, 45\}.
\end{aligned}$$

and the ZHA is everything between the “left wall” C and the “right wall” D .

From ZHAs to 2CAGs. Let H be a ZHA and let lr be its top point. Form the sequence of its left wall generators (the generators of H in which the arrow pointing to them points northwest) and the sequence of its right wall generators (the generators of H in which the arrow pointing to them points northeast). Look at where there are “gaps” in these sequences; each gap in the left wall generators becomes an intercolumn arrow going right, and each gap in the right wall generators becomes an intercolumn arrow going left. In the acyclic example of the last section, this yields:

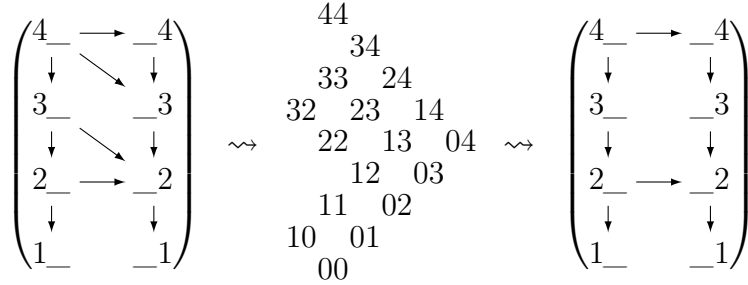
$$\begin{array}{rcl}
& & _5 = 25 \\
& & \text{(gap becomes } 2_ \leftarrow _5) \\
4_ = 42 & & _4 = 14 \\
\text{(no gap)} & & \text{(gap becomes } 1_ \leftarrow _4) \\
3_ = 32 & & _3 = 03 \\
\text{(gap becomes } 3_ \rightarrow _2) & & \text{(no gap)} \\
2_ = 20 & & _2 = 02 \\
\text{(no gap)} & & \text{(no gap)} \\
1_ = 10 & & _1 = 01
\end{array}$$

We know l and r from the top point of the ZHA, and from the gaps we get R and L ; the 2CAG that generates this ZHA is:

$$(4, 5, \{3_ \rightarrow _2\}, \left\{ \begin{array}{l} 2_ \leftarrow _5, \\ 1_ \leftarrow _4 \end{array} \right\}).$$

Theorem 17.1 *The two operations above are inverse to one another in the following sense. If we start with a ZHA H , produce its 2CAG, and produce a ZHA H' from that, we get the same ZHA: $H' = H$. In the other direction, if we start with a 2CAG $(P, A) = 2CG(l, r, R, L)$, produce its ZHA, H , and then obtain a 2CAG $(P', A') = 2CG(l', r', R', L')$ from H , we get back the original 2CAG if and only if it didn't have*

any superfluous arrows; if the original 2CAG had superfluous arrows then the new 2CAG will have $l' = l$, $r' = r$, and R' and L' will be R and L minus these “superfluous arrows”, that are the ones that can be deleted without changing which 2-piles are forbidden. For example:



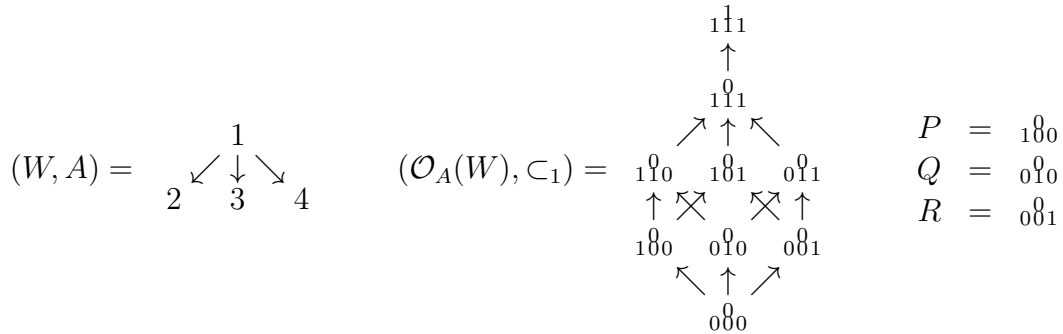
In this case we have $R = \left\{ \begin{matrix} 4 \rightarrow 4, \\ 4 \rightarrow 3, \\ 3 \rightarrow 2, \\ 2 \rightarrow 2 \end{matrix} \right\}$ and $R' = \{ 4 \rightarrow 4, \}$.

18 ZHA Logic is between IPL and CPL

Let S be this sentence:

$$\begin{aligned} S_P &:= P \rightarrow (Q \vee R) \\ S_Q &:= Q \rightarrow (R \vee P) \\ S_R &:= R \rightarrow (P \vee Q) \\ S &:= S_P \vee S_Q \vee S_R \end{aligned}$$

S can't be an intuitionistic theorem because in this Heyting Algebra, with these values for P, Q, R ,



we have $S = 1\uparrow_1 \neq \top = 1\uparrow_1$.

One way to define a valuation for a sentence S with variables $\text{Vars}(S)$ — in our example we have $\text{Vars}(S) = \{P, Q, R\}$ — is as a pair made of a Heyting Algebra H and a function $v : \text{Vars}(S) \rightarrow H$. A looser definition is that a valuation for S is a pair

made of 1) something that generates a Heyting Algebra in a known, canonical way, and 2) a function from $\mathbf{Vars}(S)$ to the elements of that HA. So:

A *classical valuation* for S is a valuation of the form $(\{0, 1\}, v)$.

A *ZHA-valuation* for S is a valuation of the form (H, v) , where H is a ZHA.

A *finite DAG-valuation* for S is a valuation of the form $((W, A), v)$, where W is a finite set and $A \subseteq W \times W$ is a set of arrows on W ; the Heyting Algebra on $(W, \mathcal{O}_A(W))$ is built as in sec.16.

A *2CG-valuation* for S is a finite DAG-valuation for S of the form $((P, A), v)$, where (P, A) is a 2-column graph; each 2CG-valuation is naturally equivalent to a ZHA-valuation, and vice-versa.

A *classical countermodel* for S is classical valuation for S in which the value of S is not \top ; a *ZHA-countermodel* for S is a ZHA-valuation for S in which the value of S is not \top ; an *intuitionistic countermodel* for S is a finite DAG-valuation for S in which the value of S is not \top .

A sentence S is a *classical tautology* (notation: $S \in \mathbf{Taut}(\mathbf{CPL})$) if S has no classical countermodels; a sentence S is a *ZHA-tautology* (notation: $S \in \mathbf{Taut}(\mathbf{ZHAL})$); and a sentence S is an *intuitionistic tautology* (notation: $S \in \mathbf{Taut}(\mathbf{IPL})$) if S has no finite-DAG countermodels.

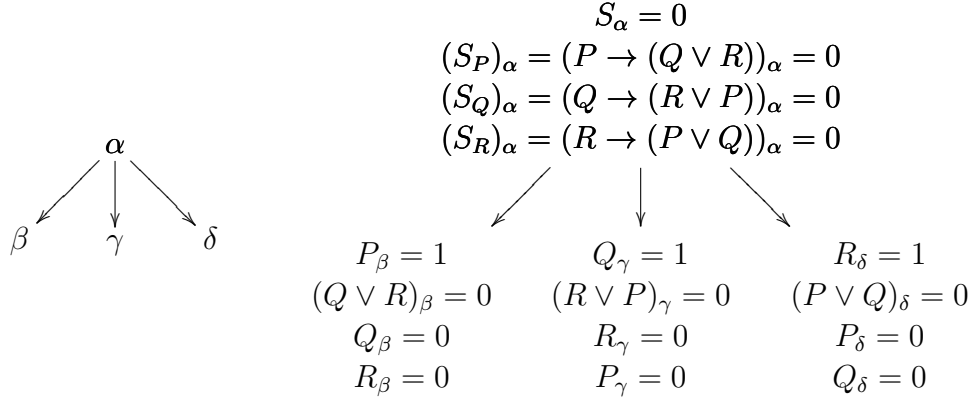
It is a standard result that the intuitionistic *theorems* are exactly the finite-DAG *tautologies*; this can be seen using Gödel translation (see [Göd86] and [Tro86]) to translate S to S_4 , and then using modal tableaux for S_4 ([Fit72]) to look for a countermodel; in standard terminology, W is a set of “worlds”, A is an “accessibility relation” or a notion of which worlds are “ahead” of which other ones, and (W, A^*) is a Kripke frame for S_4 .

The sentence $S = S_P \vee S_Q \vee S_R$ of the beginning of the section is a good example for introducing tableau methods for modal logics to “children”, as the tableau that it generates doesn’t have branches. We can present the method directly and in elementary terms, as we will do now.

Fix a set W and a relation $A \subseteq W \times W$. We will say that β is “ahead” of α when $(\alpha, \beta) \in A^*$, i.e., when there is a path $\alpha \rightarrow \dots \rightarrow \beta$ using only arrows in A . Let P and Q be open sets in $\mathcal{O}_A(W)$. The only way to have $P \vee Q$ false in a world α (notation: $(P \vee Q)_\alpha = 0$) is to have $P_\alpha = 0$ and $Q_\alpha = 0$. The only way to have $P \rightarrow Q$ false in a world α , i.e., $(P \rightarrow Q)_\alpha = 0$ is to have $P_\beta = 1$ and $Q_\beta = 0$ in *some* world β , with β ahead of α .

Let $((W, A), v)$ be a finite DAG-countermodel for $S = S_P \vee S_Q \vee S_R$. Then $v(P), v(Q), v(R) \in \mathcal{O}_A(W)$; we will omit the ‘ v ’s. If $((W, A), v)$ is a countermodel this means that $S \neq \top$, and there is some world α in W in which $S_\alpha = 0$. Fix this α . $S_\alpha = 0$ means $(S_P \vee S_Q \vee S_R)_\alpha = 0$, which means that $(S_P)_\alpha = 0$, $(S_Q)_\alpha = 0$, and $(S_R)_\alpha = 0$.

$(S_P)_\alpha = 0$ means $(P \rightarrow (Q \vee R))_\alpha = 0$, which means that there is a world β ahead of α in which $P_\beta = 1$ and $(Q \vee R)_\beta = 0$, and $(Q \vee R)_\beta = 0$ means $Q_\beta = 0$ and $R_\beta = 0$; similarly, $(S_Q)_\alpha = 0$ means that there is a world γ ahead of α in which $Q_\gamma = 1$, $R_\gamma = 0$, $P_\gamma = 0$, and $(S_R)_\alpha = 0$ means that there is a world δ ahead of α in which $R_\delta = 1$, $P_\delta = 0$, $Q_\delta = 0$. In diagrams:



Note that β and γ are “independent” in the sense that in A^* we can’t have an arrow $\beta \rightarrow \gamma$ and neither an arrow $\gamma \rightarrow \beta$; we can’t have $\beta \rightarrow \gamma$ because $P_\beta = 1$ but $P_\gamma = 0$, and we can’t have $\gamma \rightarrow \beta$ because $Q_\gamma = 1$ but $Q_\beta = 0$. We can use a similar argument to show that γ and δ are independent, and to show also that δ and β are independent.

We can’t have three independent points in a 2-column graph, so we have finite DAG-countermodels for S but no 2CG-countermodels for S , and so no ZHA-countermodels for S . This means that S is not an intuitionistic tautology, but it is a ZHA-tautology. It is easy to see that $\text{Taut}(\text{IPL}) \subset \text{Taut}(\text{ZHAL}) \subset \text{Taut}(\text{CPL})$, and we saw that $S \notin \text{Taut}(\text{IPL})$, $S \in \text{Taut}(\text{ZHAL})$, $(\neg\neg P \rightarrow P) \notin \text{Taut}(\text{ZHAL})$, $(\neg\neg P \rightarrow P) \in \text{Taut}(\text{IPL})$, which means that:

$$\text{Taut}(\text{IPL}) \subsetneq \text{Taut}(\text{ZHAL}) \subsetneq \text{Taut}(\text{CPL})$$

and so “ZHA Logic”, which we have not defined via a deduction system, only by the notions of “ZHA countermodels” and “ZHA tautologies”, is strictly between Intuitionistic Logic and Classical Logic, and is different from both.

It may be possible to axiomatize our “ZHA Logic” as a “logic of width 2” using the ideas from [WZ07], pp.449–450, but I have not attempted to do that yet.

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