

# 1 Examples of J-operators

## 2 The sandwich lemma

The *sandwich lemma* says that if  $P \leq Q \leq P^*$ , then  $P =^* Q$ :

$$\frac{P \leq Q \quad Q \leq P^*}{P^* = Q^*} \text{ Sa} \quad := \quad \frac{\frac{P \leq Q}{P^* \leq Q^*} \text{ Mo} \quad \frac{\frac{Q \leq P^*}{Q^* \leq P^{**}} \text{ Mo}}{Q^* \leq P^*} \text{ M2}}{P^* = Q^*}$$

Let's use the notation of closed interval,  $[P, R]$ , for the set of all points between  $P$  and  $R$  (in the partial order  $\leq$  of the ZHA):

$$[P, R] := \{ Q \in \Omega \mid P \leq Q \leq R \}$$

We saw that  $Q \in [P, P^*]$  implies  $P =^* Q$ , which means that all points in  $[P, P^*]$  are  $*$ -equal, and that the equivalence class  $[P]^*$  contains at least the set  $[P, P^*]$  – which is never empty, because  $M1$  tells us that  $P \leq P^*$ , so

$$\begin{aligned} P \leq P \leq P^* &\rightarrow P \in [P, P^*], \\ P \leq P^* \leq P^* &\rightarrow P^* \in [P, P^*]. \end{aligned}$$

Let's call a non-empty set of the form  $[P, R]$  a *lozenge*, even though it may inherit some dents from the ambient ZHA, as here:

$$[11, 33] = (\text{diagram})$$

If  $P_1^* = P_2^*$ , then the equivalence class  $[P_1]^*$  ( $= [P_2]^*$ ) contains the union of the lozenges  $[P_1, P_1^*]$  and  $[P_2, P_2^*] = [P_2, P_1^*]$ . If  $42^* = 33^* = 14^* = 56$ , then

$$\begin{aligned} [42]^* &= [33]^* = [14]^* \supseteq \\ &[42, 56] \cup [33, 56] \cup [14, 56] \end{aligned}$$

so each equivalence class  $[P]^*$  is a union of lozenges or the form  $[-, P^*]$ ; and if  $[P_1]^* = \{ P_1, P_2, \dots, P_n \}$  then  $[P_1]^* = [P_1, P_1^*] \cup [P_2, P_1^*] \cup \dots \cup [P_n, P_1^*]$ .

Let's go back to the example above, where  $42^* = 33^* = 14^* = 56$ . We have

$$\begin{aligned} ((42\&33)\&14)^* &= \\ (42\&33)^*\&14^* &= \\ (42^*\&33^*)\&14^* &= \\ (56\&56)\&56 &= 56, \end{aligned}$$

so  $42\&33\&14$  is in the same equivalence class as  $42$ ,  $33$ , and  $14$ . We have  $[12, 56] \subseteq [42]^* = [33]^* = [14]^*$ , which means this shape:

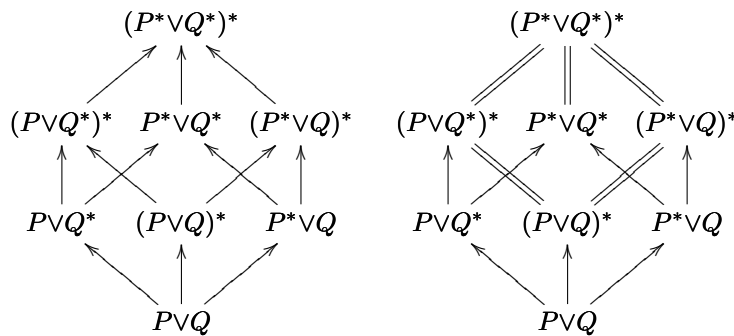
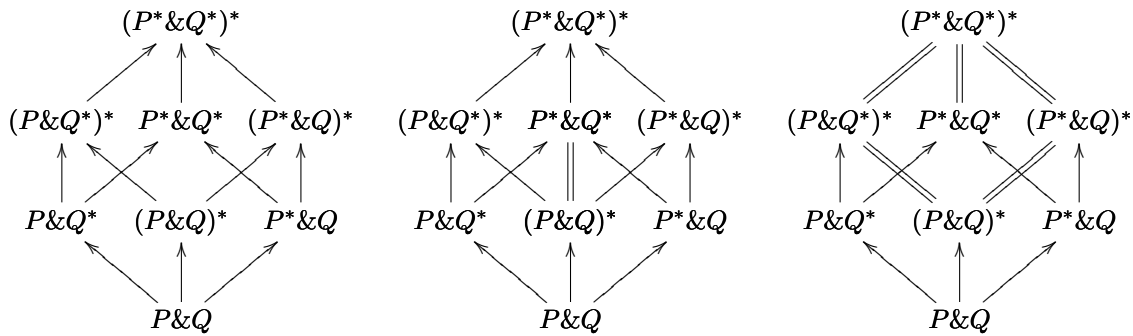
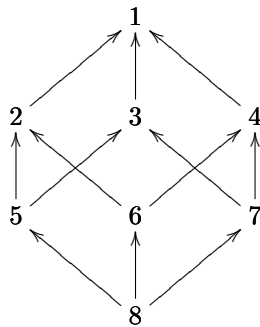
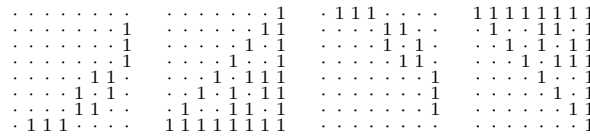
$$(\text{diagram})$$

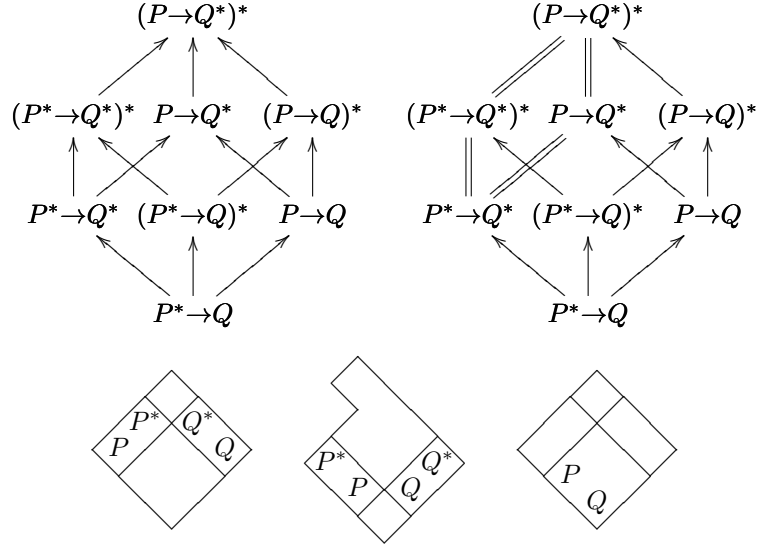
(...)

$$\frac{P =^* Q}{P \& Q =^* P} \text{ECC\&} \quad := \quad \frac{\frac{P^* = Q^*}{P^* \& Q^* = P^*} \text{M3}}{(P \& Q)^* = P^*}$$

$$\frac{P =^* Q}{P =^* P \vee Q} \text{ECC\vee} \quad := \quad \frac{\frac{P \leq P^*}{P \leq P \vee Q} \text{M1} \quad \frac{\frac{Q \leq Q^*}{Q \leq P^*} \text{M1} \quad Q^* = P^*}{P \vee Q \leq P^*} \text{Sa}}{P^* = (P \vee Q)^*}$$

### 3 How J-operators interact with connectives





$$\begin{aligned}
 & P^* \& Q^* \stackrel{(M3)}{=} (P \& Q)^* \\
 & (P^* \& Q^*)^* \stackrel{(M3)}{=} (P \& Q)^{**} \stackrel{(M2)}{=} (P \& Q)^* \\
 & \frac{\overline{P \leq P \vee Q} \quad \iota \quad \overline{Q \leq P \vee Q} \quad \iota'}{P^* \leq (P \vee Q)^* \quad Mo \quad Q^* \leq (P \vee Q)^* \quad Mo} \quad [ , ] \\
 & \frac{P^* \vee Q^* \leq (P \vee Q)^*}{(P^* \vee Q^*)^* \leq (P \vee Q)^{**}} \quad Mo \\
 & \frac{}{(P^* \vee Q^*)^* \leq (P \vee Q)^*} \quad M2
 \end{aligned}$$

$$\begin{aligned}
 & \frac{\overline{P \rightarrow Q^* \leq P \rightarrow Q^*} \quad \text{id}}{(P \rightarrow Q^*) \& P \leq Q^*} \quad b \\
 & \frac{}{((P \rightarrow Q^*) \& P)^* \leq Q^{**}} \quad Mo \\
 & \frac{}{((P \rightarrow Q^*) \& P)^* \leq Q^*} \quad M2 \\
 & \frac{}{(P \rightarrow Q^*)^* \& P^* \leq Q^*} \quad M3 \\
 & \frac{}{(P \rightarrow Q^*)^* \leq P^* \rightarrow Q^*} \quad \#
 \end{aligned}$$

## 4 There are no Y-cuts

$a^{34} \overset{12}{78} b$

$a^{34} \overset{12}{78} \overset{56}{b}$

A *small lozenge* in a ZHA is four points like  $\{\dots\}$  or  $\{\dots\}$  in the figure below; we will write these small lozenges as  $\overset{12}{34} \overset{56}{78}$  and ... .

When the points in a small lozenge  $L$  belong to four different equivalence classes, like in ..., we say that  $L$  is an *X-cut*; when they belong to three different equivalence classes, like ... or ..., a *Y-cut*; two different equivalence classes, like ... or ..., an *I-cut*; one equivalence class, a *no-cut*.

We saw in section 2 that equivalence classes are lozenges. Let's now see that the frontiers between equivalence classes can't be more irregular than the examples in section 1.

Every way of dividing a ZHA  $A$  into lozenges induces an operation  $\cdot^* : A \rightarrow A$  that takes each element to the top element of its equivalence class; this ' $\cdot^*$ ' obeys axiom M1 and M2...

We need two lemmas:

## 5 Partitions into contiguous classes

A partition of  $\{0, \dots, n\}$  into contiguous classes (a “picc”) is one in which this holds: if  $a, b, c \in \{0, \dots, n\}$ ,  $a < b < c$  and  $a \sim c$ , then  $a \sim b \sim c$ . So, for example,  $\{\{0, 1\}, \{2\}, \{3, 4, 5\}\}$  is a partition into contiguous classes, but  $\{\{0, 2\}, \{1\}\}$  is not.

A partition of  $\{0, \dots, n\}$  into contiguous classes induces an equivalence relation  $\cdot \sim_P \cdot$ , a function  $[\cdot]_P$  that returns the equivalence class of an element, a function

$$\begin{aligned} \cdot^P : \{0, \dots, n\} &\rightarrow \{0, \dots, n\} \\ a &\mapsto \max [a]_P \end{aligned}$$

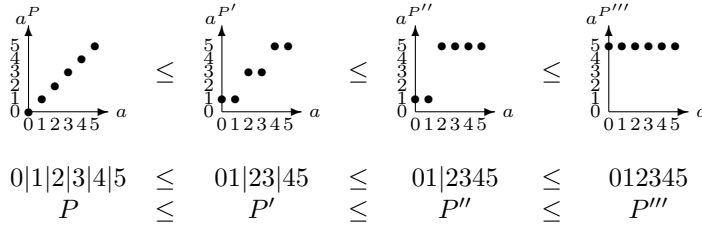
that takes each element to the top element in its class, a set  $\text{St}_P := \{a \in \{0, \dots, n\} \mid a^P = a\}$  of the “stable” elements of  $\{0, \dots, n\}$ , and a graphical representation with a bar between  $a$  and  $a+1$  when they are in different classes:

$$01|2|345 \equiv \{\{0, 1\}, \{2\}, \{3, 4, 5\}\},$$

which will be our favourite notation for partitions into contiguous classes from now on.

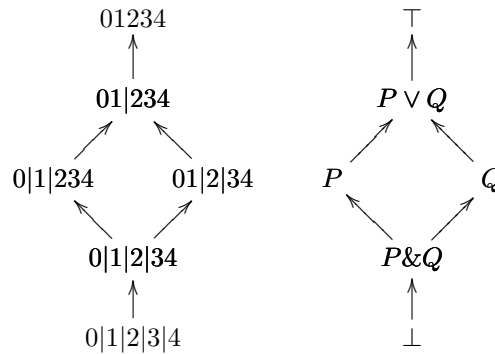
## 6 The algebra of piccs

When  $P$  and  $P'$  are two piccs on  $\{0, \dots, n\}$  we say that  $P \leq P'$  when  $\forall a \in \{0, \dots, n\}. a^P \leq a^{P'}$ . The intuition is that  $P \leq P'$  means that the graph of the function  $\cdot^P$  is under the graph of  $\cdot^{P'}$ :



This yields a partial order on piccs, whose bottom element is the identity function  $01| \dots | n$ , and the top element is  $01 \dots n$ , that takes all elements to  $n$ .

It turns out that the piccs form a (Heyting!) algebra, in which we can define  $\top$ ,  $\perp$ ,  $\&$ ,  $\vee$ , and even  $\rightarrow$ .



The operations  $\&$  and  $\vee$  are easy to understand in terms of cuts (the “|”s):

$$\begin{aligned} \text{Cuts}(P \vee Q) &= \text{Cuts}(P) \cap \text{Cuts}(Q) \\ \text{Cuts}(P \& Q) &= \text{Cuts}(P) \cup \text{Cuts}(Q) \end{aligned}$$

The stable elements of a picc on  $\{0, \dots, n\}$  are the ones at the left of a cut plus the  $n$ , so we have:

$$\begin{aligned} \text{St}(P \vee Q) &= \text{St}(P) \cap \text{St}(Q) \\ \text{St}(P \& Q) &= \text{St}(P) \cup \text{St}(Q) \end{aligned}$$

Here is a case that shows how  $\cdot^{P \vee Q}$  can be problematic. The result of  $a^{P \vee Q}$  must be stable by both  $P$  and  $Q$ . Let:


$$\begin{aligned} E &:= 01|2|34|56|789 \\ O &:= 01|23|45|6|789 \\ E \vee O &= 01|23456|789 \\ E \& O &= 01|2|3|4|5|6|789 \end{aligned}$$

We can define  $a^{P \& Q} := a^P \& a^Q$ , and this always works. But  $a^{P \vee Q} := a^P \vee a^Q$  does not, we may have to do something like iterating the two functions many times:

$$\begin{array}{ccc} O \vee E & = & 0123456 \\ 2^{O \vee E} & = & 6 \\ a^{O \vee E} & = & (((a^O)^E)^O)^E \\ & \nearrow & \nwarrow \\ O = 01|23|45|6 & & E = 01|2|34|56 \\ & \nwarrow & \nearrow \\ O \& E & = & 0|1|2|3|4|5|6 \\ 2^{O \& E} & = & 2^O \& 2^E = 3 \& 2 = 2 \\ a^{O \& E} & = & a^O \& a^E \end{array}$$

The “ $\rightarrow$ ” in the algebra of piccs will not be relevant to us, so we will not discuss it.

## 7 ZQuotients

A *ZQuotient* for a ZHA with top element 46 is a partition of  $\{0, \dots, 4\}$  into contiguous classes (a “partition of the left wall”), plus a partition of  $\{0, \dots, 6\}$  into contiguous classes (a “partition of the right wall”). Our favourite short notation for ZQuotients is with “/”s and “\”s, like this, “4321/0 0123\45\6”, because we regard the cuts in a ZQuotient as diagonal cuts on the ZHA. The graphical notation is this (for 4321/0 0123\45\6 on 

which makes clear how we can adapt the definitions of  $\cdot \sim_P \cdot$ ,  $[\cdot]_P$ ,  $\cdot^P$ ,  $\text{St}_P$ , which were on (one-dimensional!) PICCs in section 5, to their two-dimensional counterparts on ZQuotients. If  $P$  is the ZQuotient of the figure above, then:

$$\begin{aligned} 34 \sim_P 25 & \text{ is true,} \\ 23 \sim_P 24 & \text{ is false,} \\ [12]_P & = \{11, 12, 13, 22, 23\}, \\ 22^P & = 23, \\ \text{St}_P & = \{03, 04, 23, 45, 46\}. \end{aligned}$$

## 8 The algebra of ZQuotients

The ideas of the last section apply to ZQuotients, with a few adjustments. The

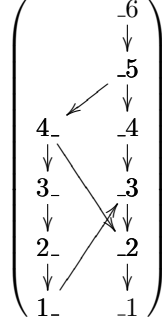
$$\text{St}(P\&Q) = \text{St}(P) \cup \text{St}(Q)$$

would mean, for  $P = 54/32/10$  and  $Q = 01/23/45$ , that

$$\text{St}(P\&Q) = \text{St}(P \cup Q)$$

## 9 2-Column graphs

A 2-column graph is a graph like the one in the picture below, composed of a *left column*  $4_- \rightarrow 3_- \rightarrow 2_- \rightarrow 1_- \rightarrow 0_-$ , a *right column*  $6_- \rightarrow 5_- \rightarrow 4_- \rightarrow 3_- \rightarrow 2_- \rightarrow 1_- \rightarrow 0_-$ , and some intercolumn arrows:  $4_- \rightarrow 2_-$ ,  $1_- \rightarrow 3_-$  going from the left column to the right column,  $5_- \rightarrow 4_-$  going from the right column to the left column.



A compact way to specify a 2-column graph is this: (left height, right height, left-to-right arrows, right-to-left arrows). In the graph of the example this is  $(5, 7, \{4_- \rightarrow 2_-, 1_- \rightarrow 3_-\}, \{4_- \leftarrow 5_-\})$ .

We need to attribute a precise meaning for  $0_-, \dots, 4_-$  and  $0_-, \dots, 6_-$ . For the moment let's use this one, in which they are points in  $\mathbb{N}^2$  with  $x = 0$  or  $x = 2$ :

$$\begin{array}{ll} \vdots & \vdots \\ 3_- := (0, 3) & 4_- := (2, 4) \\ 2_- := (0, 2) & 2_- := (2, 2) \\ 1_- := (0, 1) & 1_- := (2, 1) \\ 0_- := (0, 0) & 0_- := (2, 0) \end{array}$$

Let's write  $(5, 7, \{\dots\}, \{\dots\})^*$  for the transitive-reflexive closure of the graph, and  $\mathcal{O}(5, 7, \{\dots\}, \{\dots\})$  for its order topology.

Every open set of a 2-column graph is made of a pile of  $a$  elements at the bottom of the left column and a pile of  $b$  elements at the bottom of the right column. If we write these "2-piles" as  $ab$ ,

$$\begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} \equiv \{1_-, 3_-, 2_-, 1_-\} \equiv 13$$

the connection with ZHAs becomes clear:

$$\begin{aligned} \mathcal{O}(3, 4, \{\}, \{\}) &= \begin{array}{l} 34 \equiv \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \\ 33 \equiv \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \quad 24 \equiv \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \\ 32 \equiv \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \quad 23 \equiv \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} \quad 14 \equiv \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix} \\ 31 \equiv \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} \quad 22 \equiv \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix} \quad 13 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \quad 04 \equiv \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix} \\ 30 \equiv \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix} \quad 21 \equiv \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad 12 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \quad 03 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \\ 20 \equiv \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad 11 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \quad 02 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \\ 10 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \quad 01 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \\ 00 \equiv \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \end{array} \end{aligned}$$

What happens if we add a left-right arrow, for example  $2_- \rightarrow \cdot 2$ ?

$$(3, 4, \{2_- \rightarrow \cdot 2\}, \{\}) = \begin{pmatrix} & & & & -4 \\ & & & & \downarrow \\ & & & & -3 \\ & & & & \downarrow \\ & & & & -2 \\ & & & & \downarrow \\ & & & & -1 \\ & & & & \downarrow \\ & & & & -1 \end{pmatrix}$$

Its effect is to make all the 2-piles of the form  $\begin{pmatrix} ? & ? \\ ? & ? \end{pmatrix}$  *non-open* — more simply, the ones of the form  $\begin{pmatrix} ? & 0 \\ ? & ? \end{pmatrix}$ , which are  $20 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix}$ ,  $21 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix}$ ,  $30 \equiv \begin{pmatrix} 1 & 0 \\ ? & ? \end{pmatrix}$ ,  $31 \equiv \begin{pmatrix} 1 & 0 \\ ? & ? \end{pmatrix}$ . We have:

$$\begin{aligned} \mathcal{O}(3, 4, \{2_- \rightarrow \cdot 2\}, \{\}) &= \\ &34 \equiv \begin{pmatrix} 1 & 1 \\ ? & ? \end{pmatrix} \\ &33 \equiv \begin{pmatrix} 1 & 0 \\ ? & ? \end{pmatrix} \quad 24 \equiv \begin{pmatrix} 0 & 1 \\ ? & ? \end{pmatrix} \\ &32 \equiv \begin{pmatrix} 1 & 0 \\ ? & ? \end{pmatrix} \quad 23 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \quad 14 \equiv \begin{pmatrix} 0 & 1 \\ ? & ? \end{pmatrix} \\ &22 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \quad 13 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \quad 04 \equiv \begin{pmatrix} 0 & 1 \\ ? & ? \end{pmatrix} \\ &12 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \quad 03 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \\ &11 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \quad 02 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \\ &10 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \quad 01 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \\ &00 \equiv \begin{pmatrix} 0 & 0 \\ ? & ? \end{pmatrix} \end{aligned}$$

Graphica  
like this,

we see that a topology like  $\mathcal{O}(3, 4, \{\}, \{\})$  is a ZHA:

